



Combined Dissolution/Permeation: Input for Rationalized Drug Formulation Development

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May 24, 2023

FDA- M-CERSI Workshop; University of Maryland





Outline: Combined Dissolution/Permeation

Why combine ?

Dynamic systems
(Supersaturation)

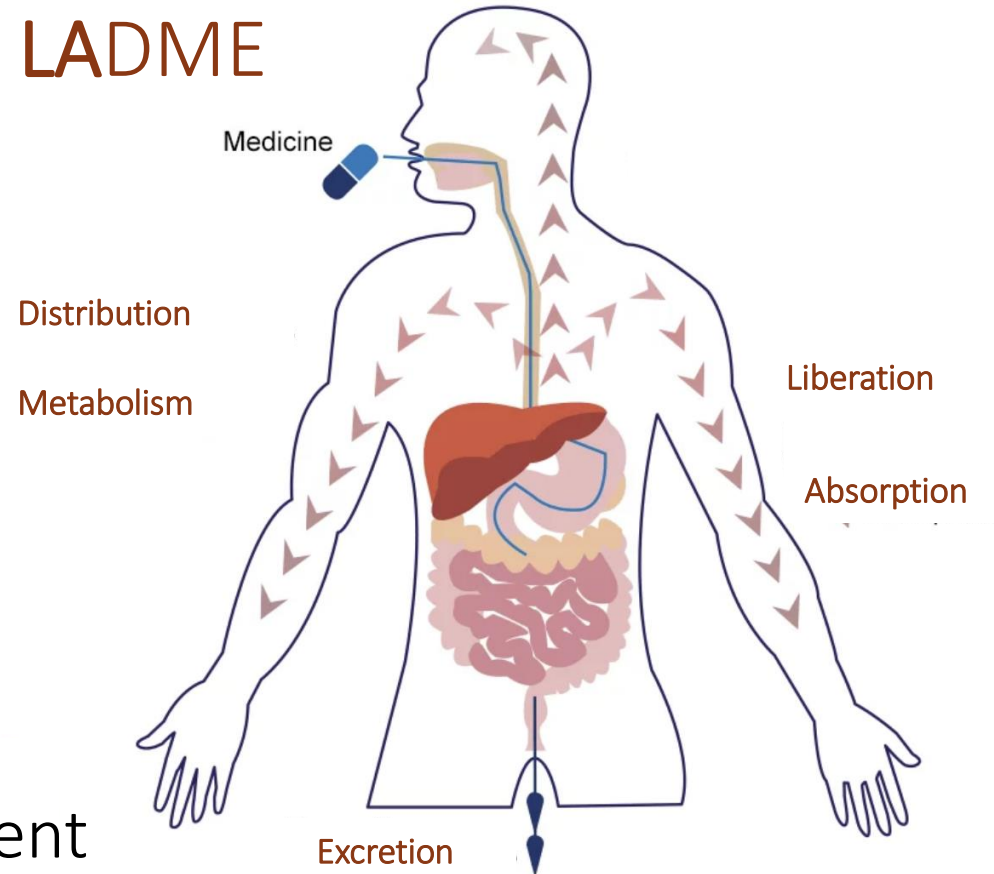
Barriers

Set-ups

Parameters

Implications for formulation development

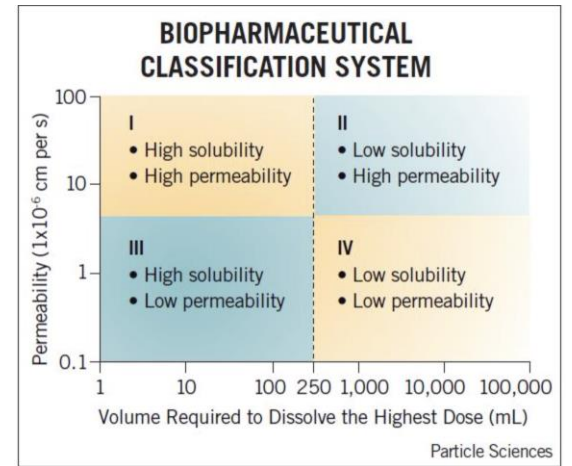
LADME





Background

- In the past we have looked at drug solubility (dissolution) and (steady-state) permeability separately.
- This is inappropriate for most enabling formulations, because
 - not all dissolved states of a drug are equally prone to cross biological barriers
 - extent and duration of supersaturation may be affected by the presence of an absorptive sink
- To gain insight into the **dynamic interplay** between dissolution and permeation, we need to develop new tools for the performance ranking of enabling formulations.
- In cases, where the **permeable fraction of drug** (molecularly dissolved drug) is difficult to measure during the course of a dissolution experiment, D/P may serve as an alternative approach.





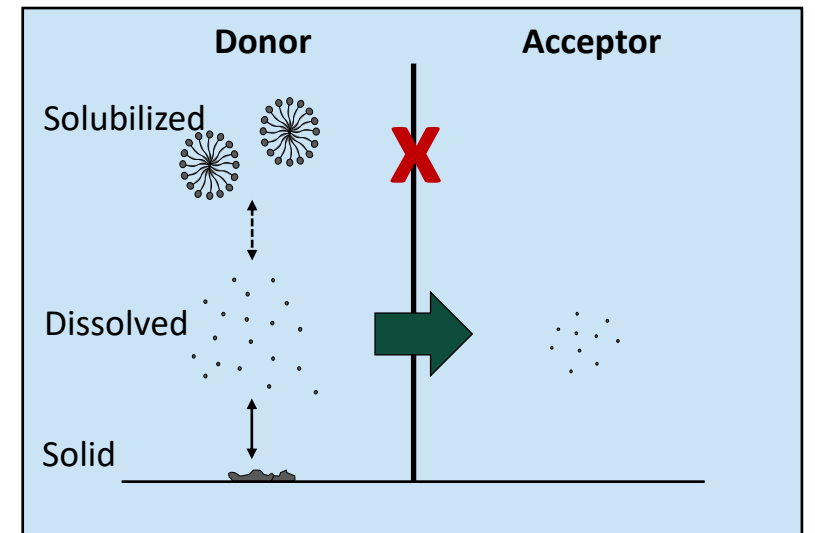
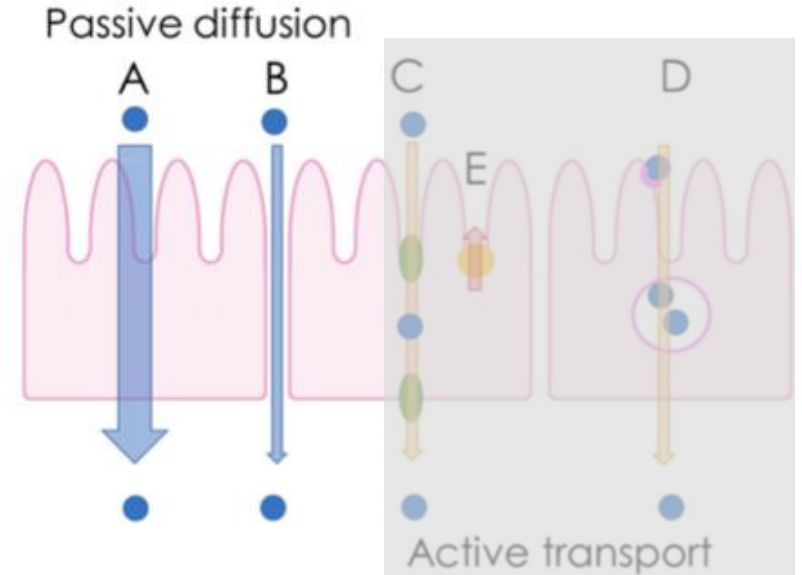
Background

Diffusion

pH – dissociation of weak bases and acids

Supersaturation

- Degree of supersaturation :
 - Metastable supersaturated states
 - Spontaneous nucleation, precipitation
 - Replenishing the dissolution process from amorphous precipitate
 - Highly dynamic process
- Supramolecular assemblies affect supersaturation
 - Excipients: e.g. surfactants, polymers, complexing agents
 - Physiological: presence of bile salt micelles and mixed micelles
 - Food effect: change in composition; transit times
 - Digestion (lipolysis)
 -
- Supramolecular assemblies affect permeation





What is the Challenge ?

Example: Fenofibrate

Commercial Micro- vs. Nano products; bioequivalent



200 mg micro

\wedge
 \equiv



145 mg nano

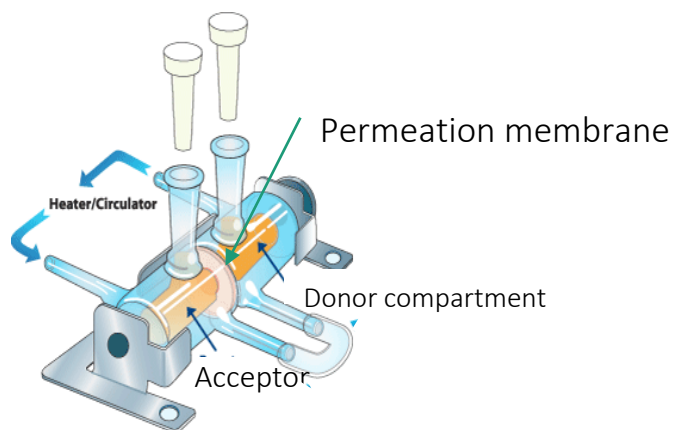
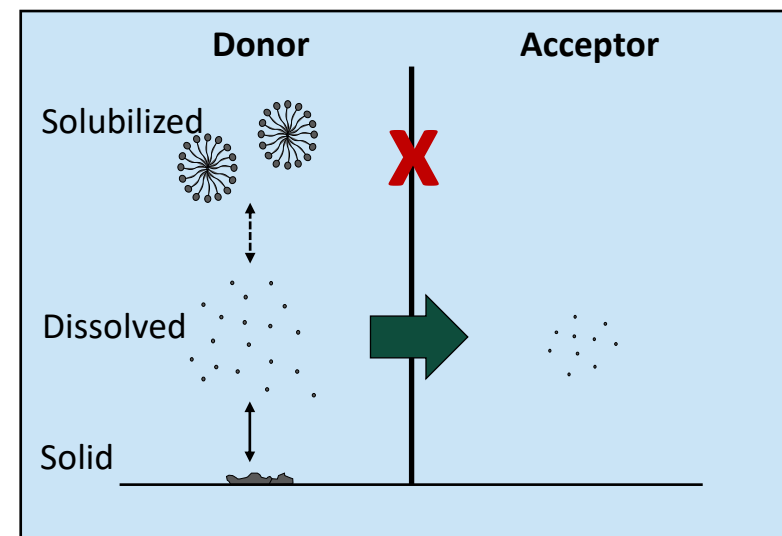


Figure: www.permegear.com

Dissolution/Permeation of Fenofibrate Nanoformulation



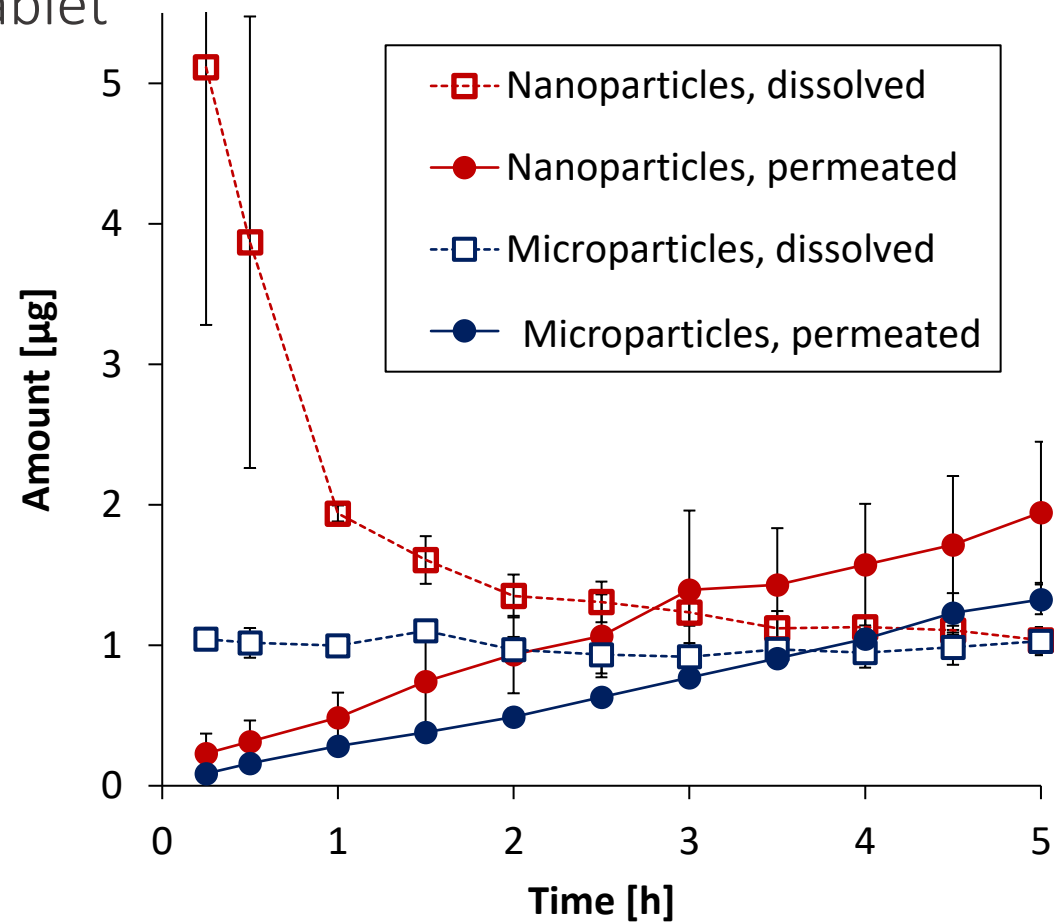
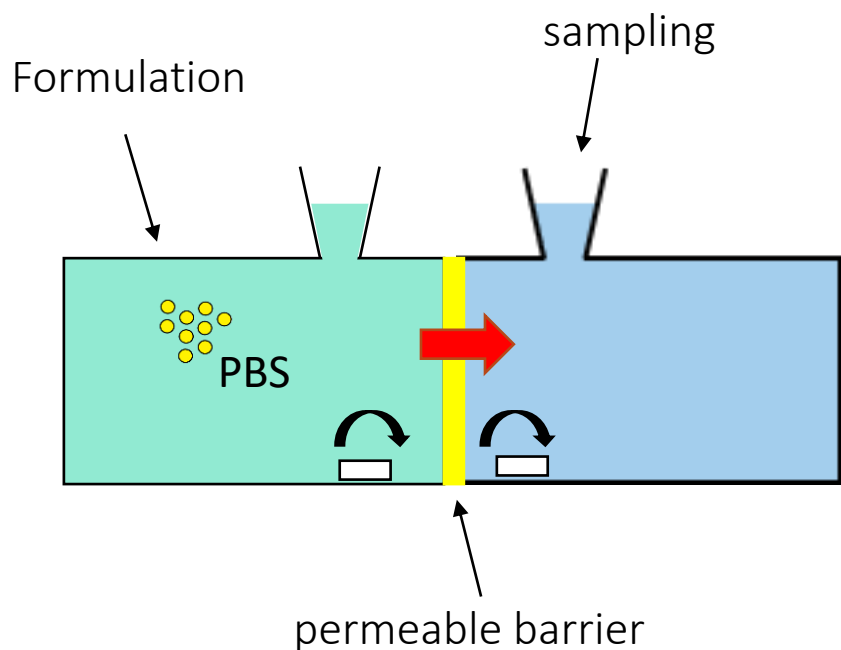
Solid state:
Amorphous



Dissolution vs. Combined Dissolution/Permeation ?

Micro- and Nanoparticles from commercial tablet

Supersaturation for nanoformulation translates into better permeation

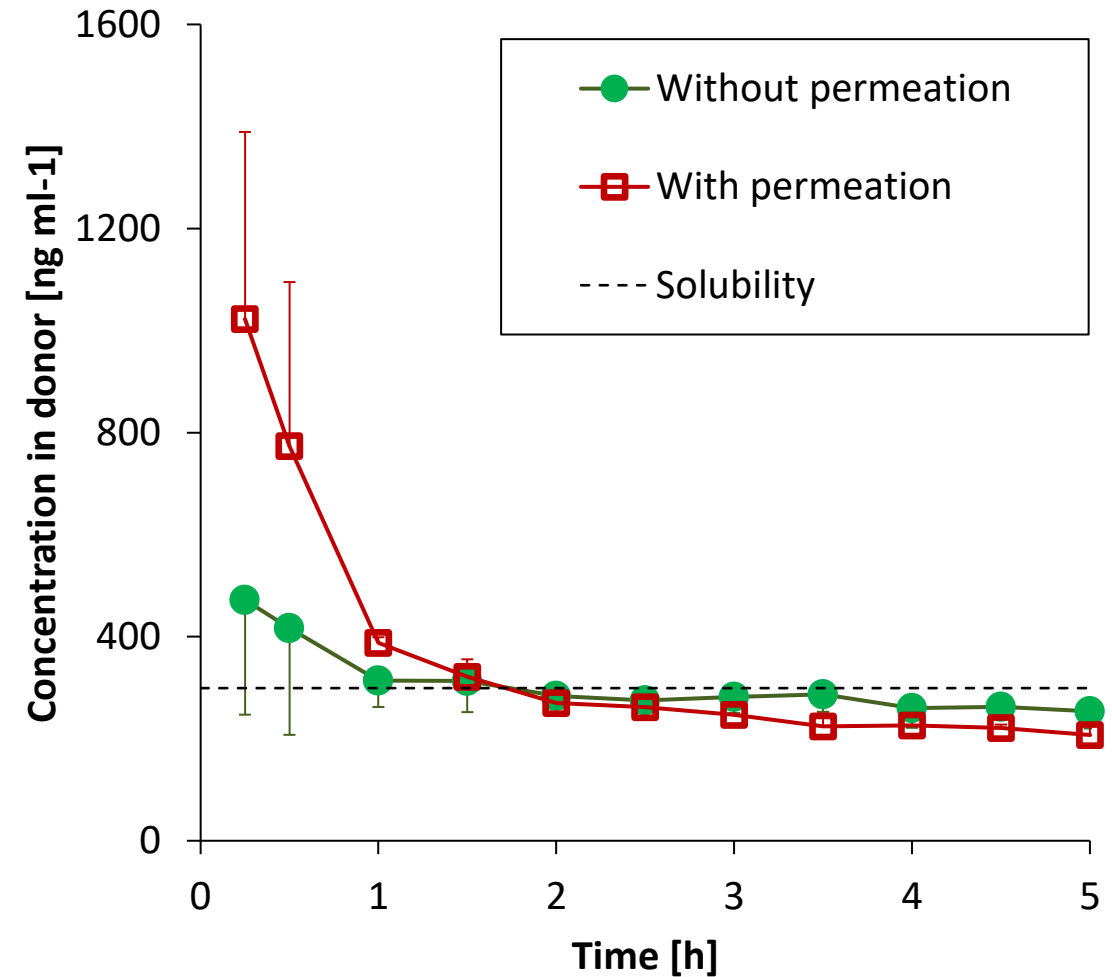
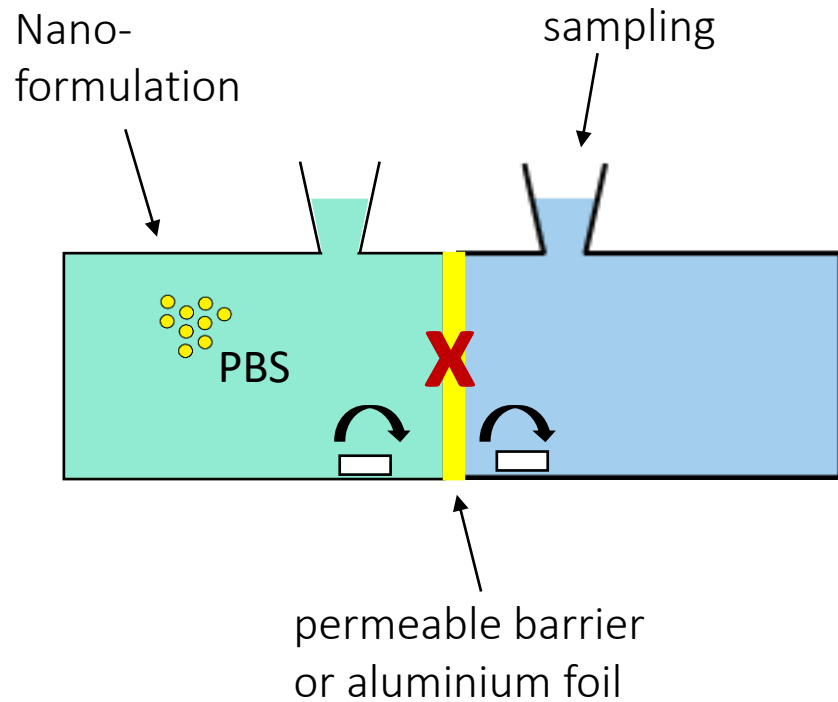




Dissolution vs. Combined Dissolution/Permeation ?

Nanoparticles from commercial tablet

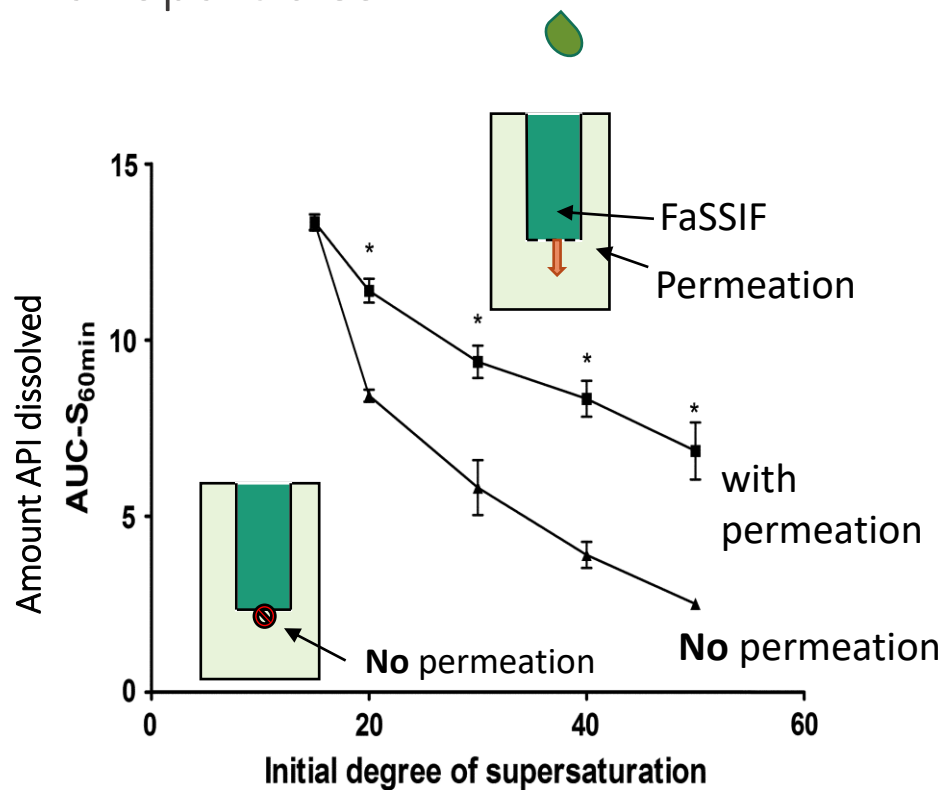
Supersaturation in the donor compartment decreases in absence of absorptive sink





Dissolution vs. Combined Dissolution/Permeation ?

Another similar example:
Nanoparticles from DMSO solution in situ



For supersaturating enabling formulations:

How to monitor

- Degree of Supersaturation
- Time course of Supersaturation ?

How to tune the supersaturation profile
in order to mimic in vivo ?

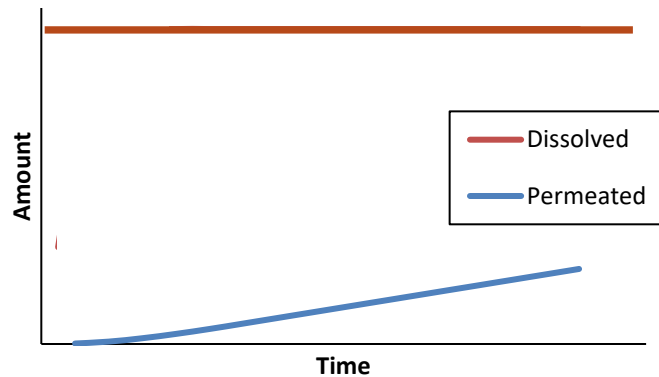


From Permeability to Combined Dissolution/Permeation

In general : LADME

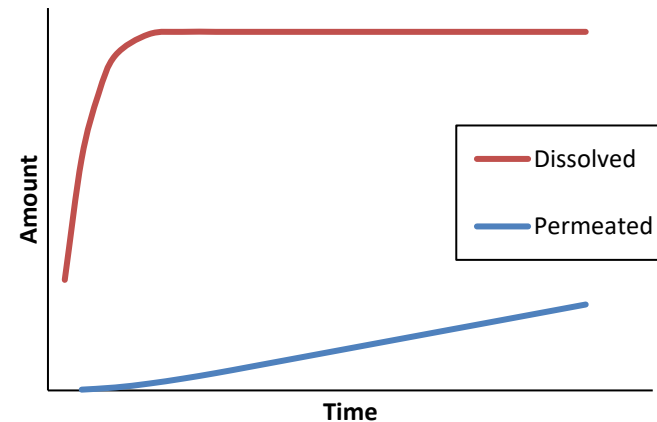
In supersaturating systems

Permeability



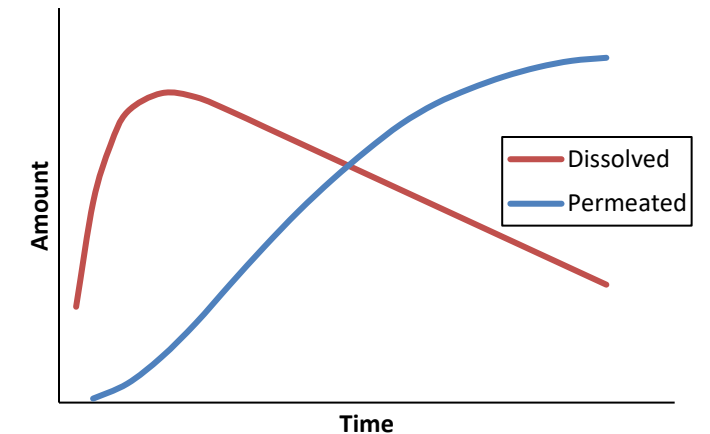
- Solution; $c = \text{constant}$
- Steady state flux, P_{app}

Dissolution/Permeation



- Steady state flux
- Dissolution not affected

In vivo



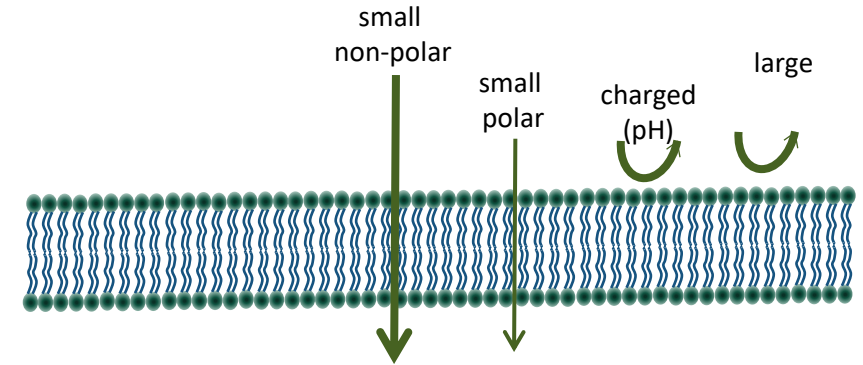
- Dynamic flux
- Donor depletion



How to Increase Permeation Rate?

Permeation Rate depends on:

- Association state of the API:
 - Free fraction, solvation state (e.g. dissociation)
 - Polymer-associated,
 - Solubilized; (supramolecularly associated),
 - Phase separated (amorphous),
 -
- Dissolution Rate; replenishing from reservoirs

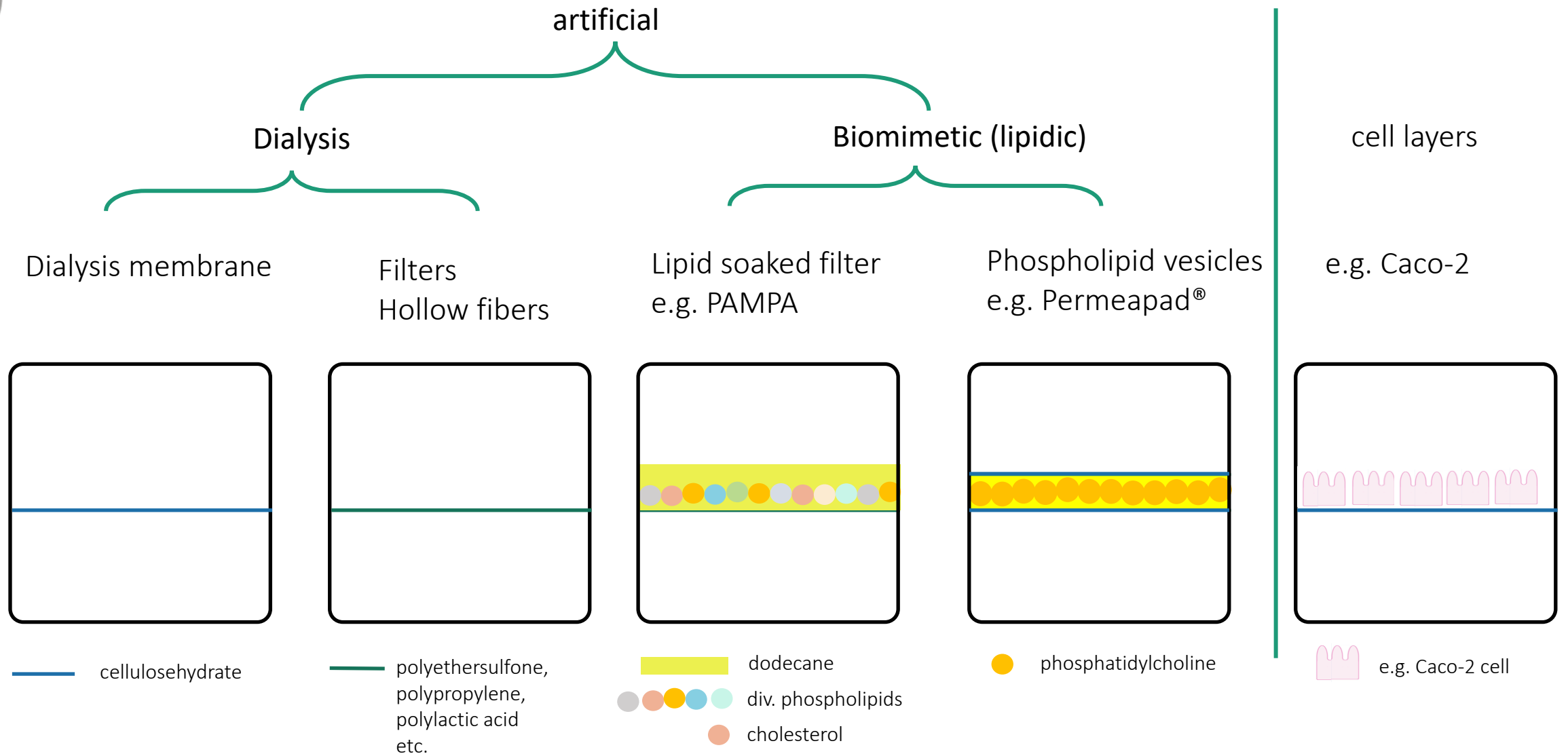


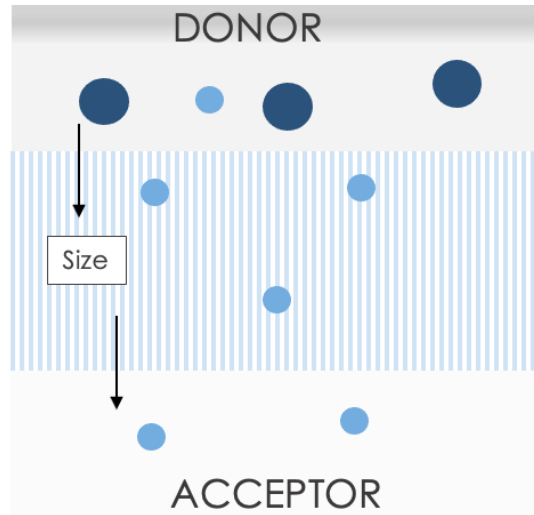
Adjustable Parameters for in vitro Models:

- a) Barrier properties: Higher transmittance
- b) Set-up: Increase barrier area as compared to volume of compartment



Permeation Barriers

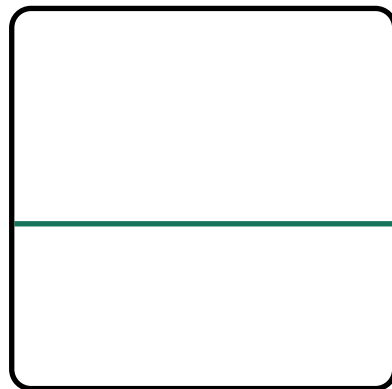
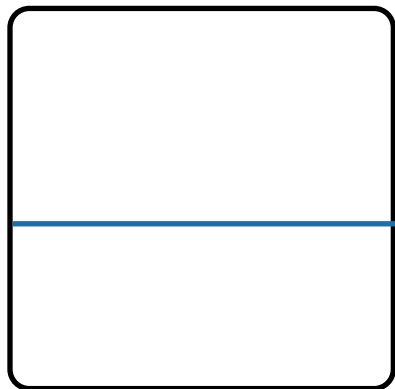




Barrier	Components	Reference(s)
AMI-system	regenerated cellulose (MWCO < 2 kDA)	Berben et al., 2018c
Permea- Plain	Cellulose hydrate (used in PermeaPlain plates®)	Jacobsen et al., 2019
PDMS membrane	Poly(dimethyl siloxane)	Garrett & Chemburkar, 1968 ; Sinko et al., 2017

Dialysis membrane

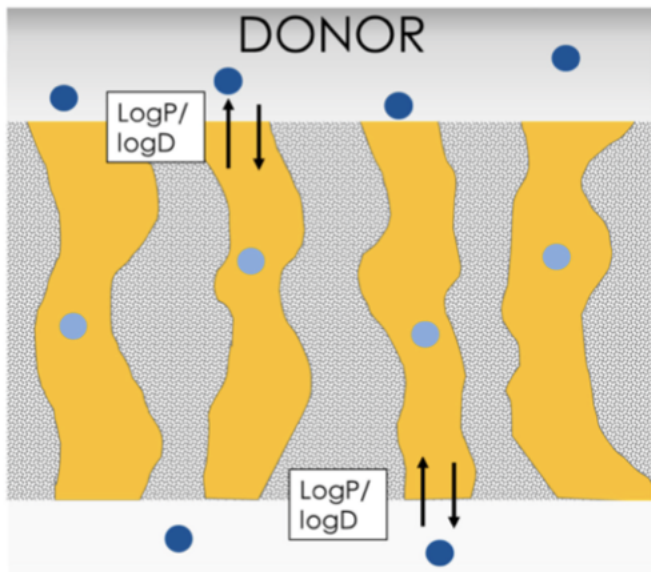
Filters
Hollow fibers



— cellulosehydrate

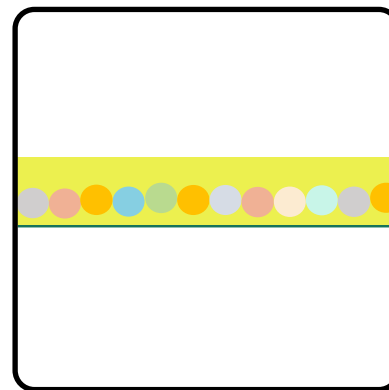
— polyethersulfone,
polypropylene,
polylactic acid
etc.

- Transport according to size of molecules (solvated)
- Dissociation state disregarded



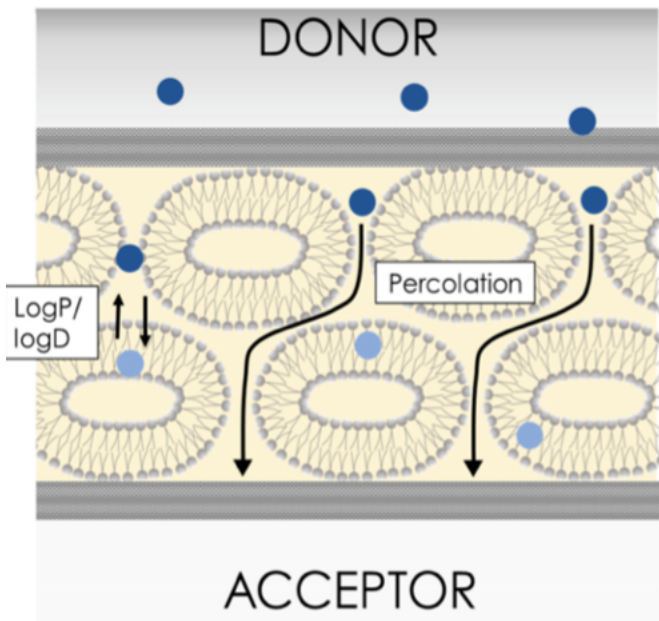
Barrier	Components	Reference(s)
PAMPA	Filter (e.g. hydrophobic PVDF), (phospho)lipids dissolved in organic solvent (e.g. 10% egg-lecithin in n-dodecane)	Avdeef et al., 2001; Kansy et al., 1998; Sugano et al., 2001; Zhu et al., 2002
Hexadecane (HDM) PAMPA	Polycarbonate filter, n-hexadecane	<u>Wohnsland & Faller, 2001</u>
Precoated PAMPA	PVDF filter, lipid/oil/lipid tri-layer	Chen et al., 2008

e.g. PAMPA



- dodecane
- div. phospholipids
- cholesterol

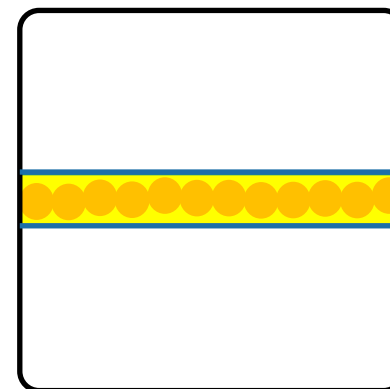
- Partitoning
- pH-dependent distribution
- Predicts lipophilic compounds better



Barrier	Components	Reference(s)
PVPA	Filter (mixed cellulose ester), liposomes (from e.g. egg phosphatidylcholine)**	Flaten et al., 2006; Naderkhani et al., 2014
Permeapad®	2 support sheets cellulose hydrate sandwich phospholipids (e.g. soy phosphatidylcholine)	di Cagno et al., 2015; Jacobsen et al., 2020b

Transport mechanism includes interaction with PL bilayers and aqueous spaces

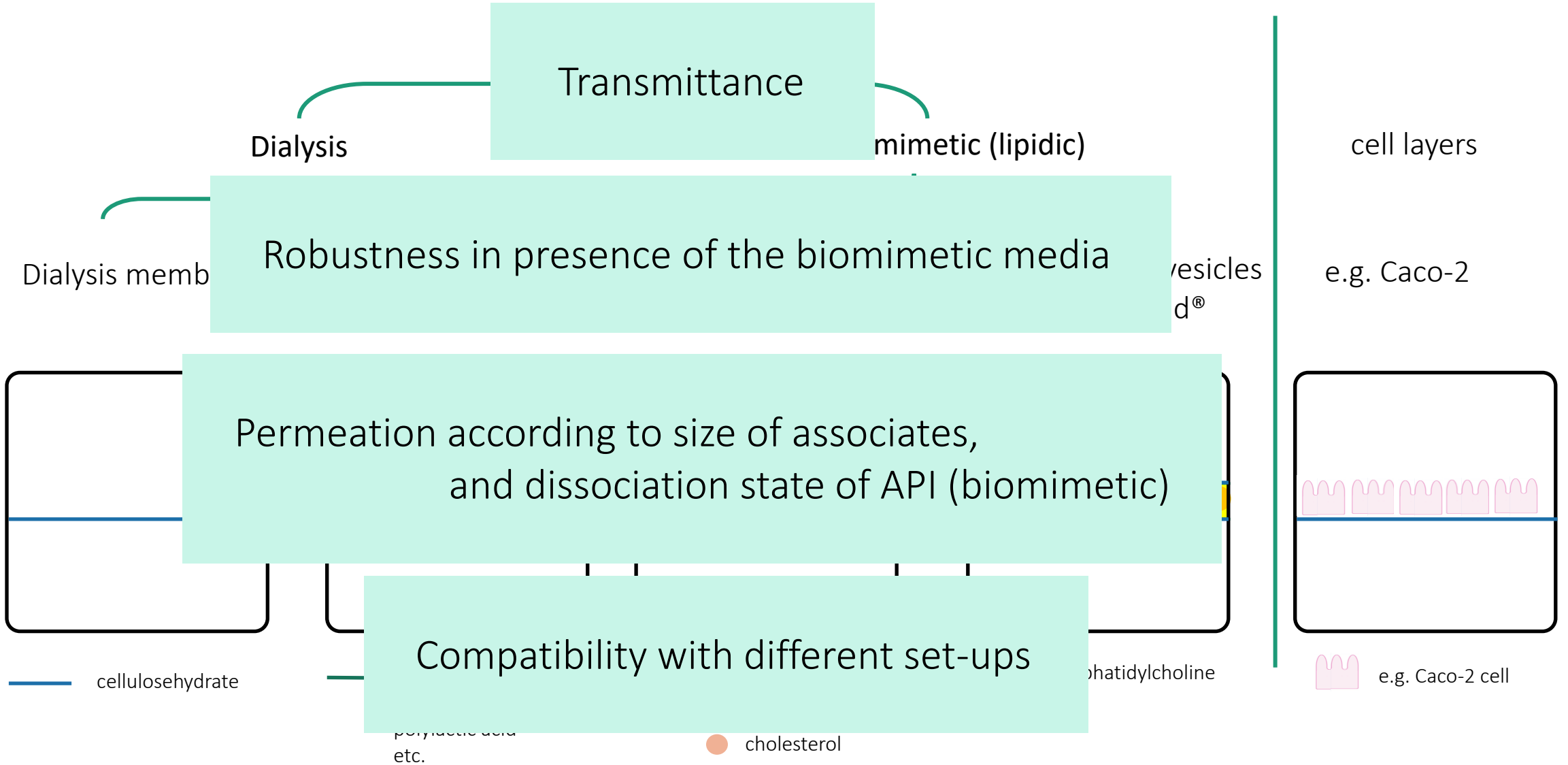
Phospholipid vesicles
e.g. Permeapad®



● phosphatidylcholine



How to select a Permeation Barrier ?

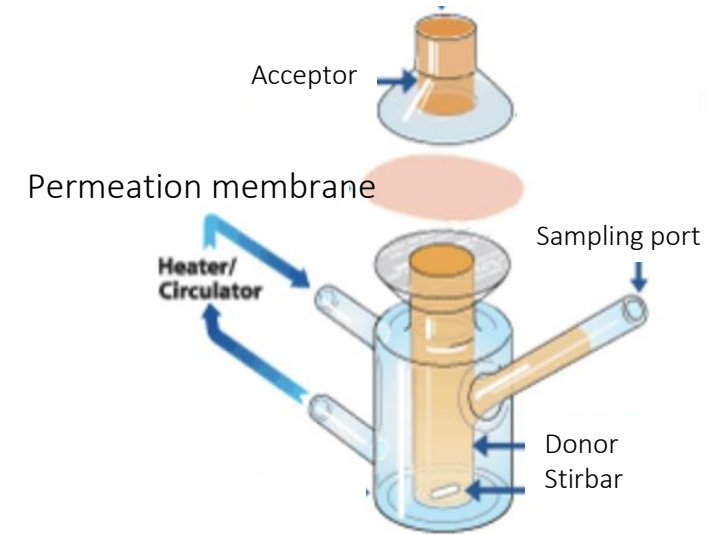




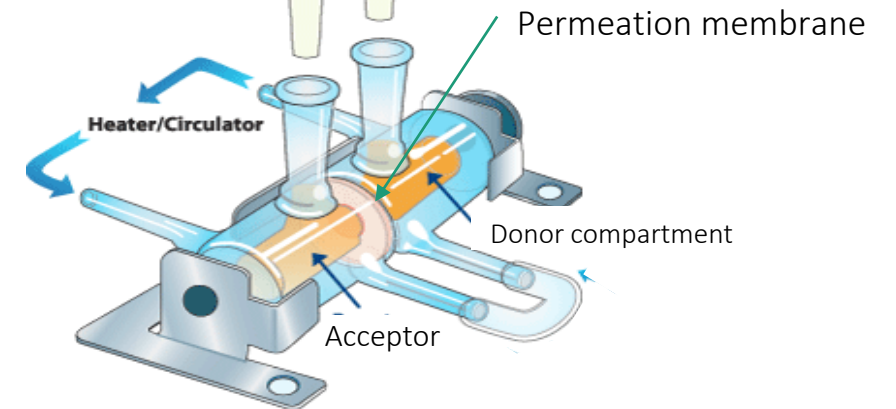
b) Set-ups: Classical Diffusion cells

- Dissolution / Permeation
- Hydrodynamics /stirring
- Volumes
- Arrangement of sampling ports

Franz cells



Side-by-side diffusion cells

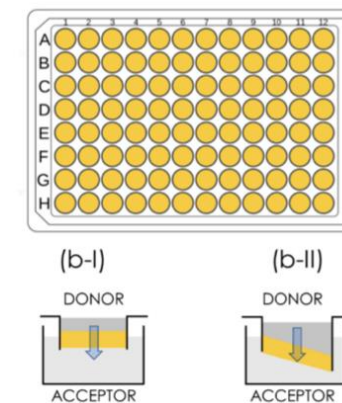
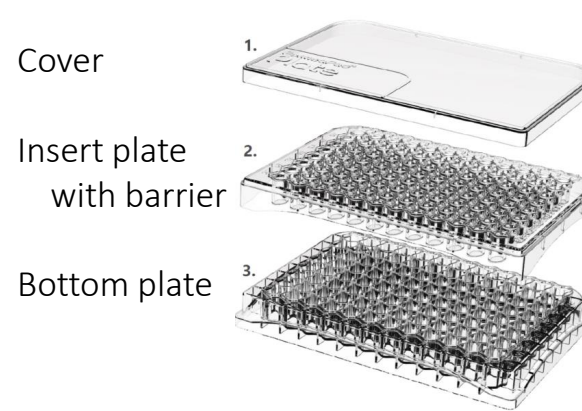
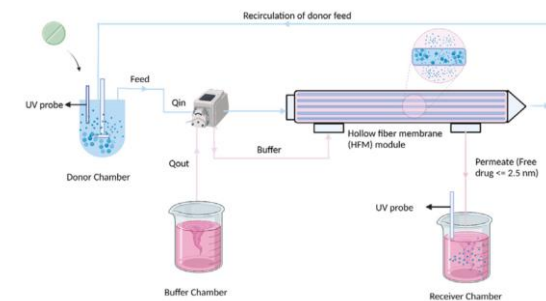
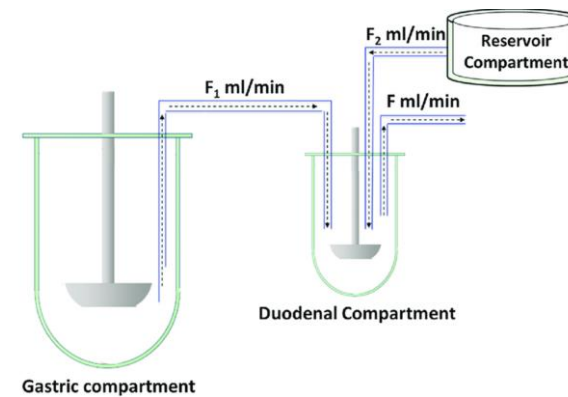
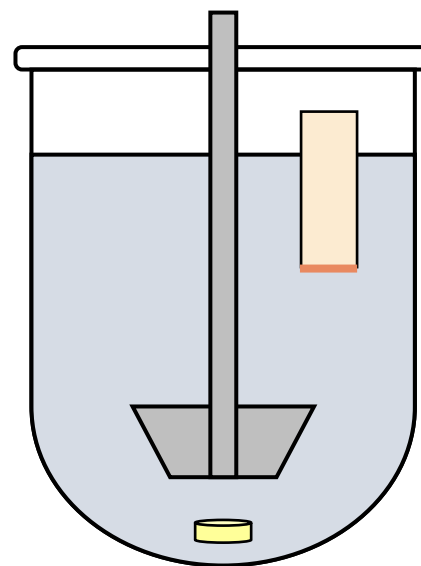




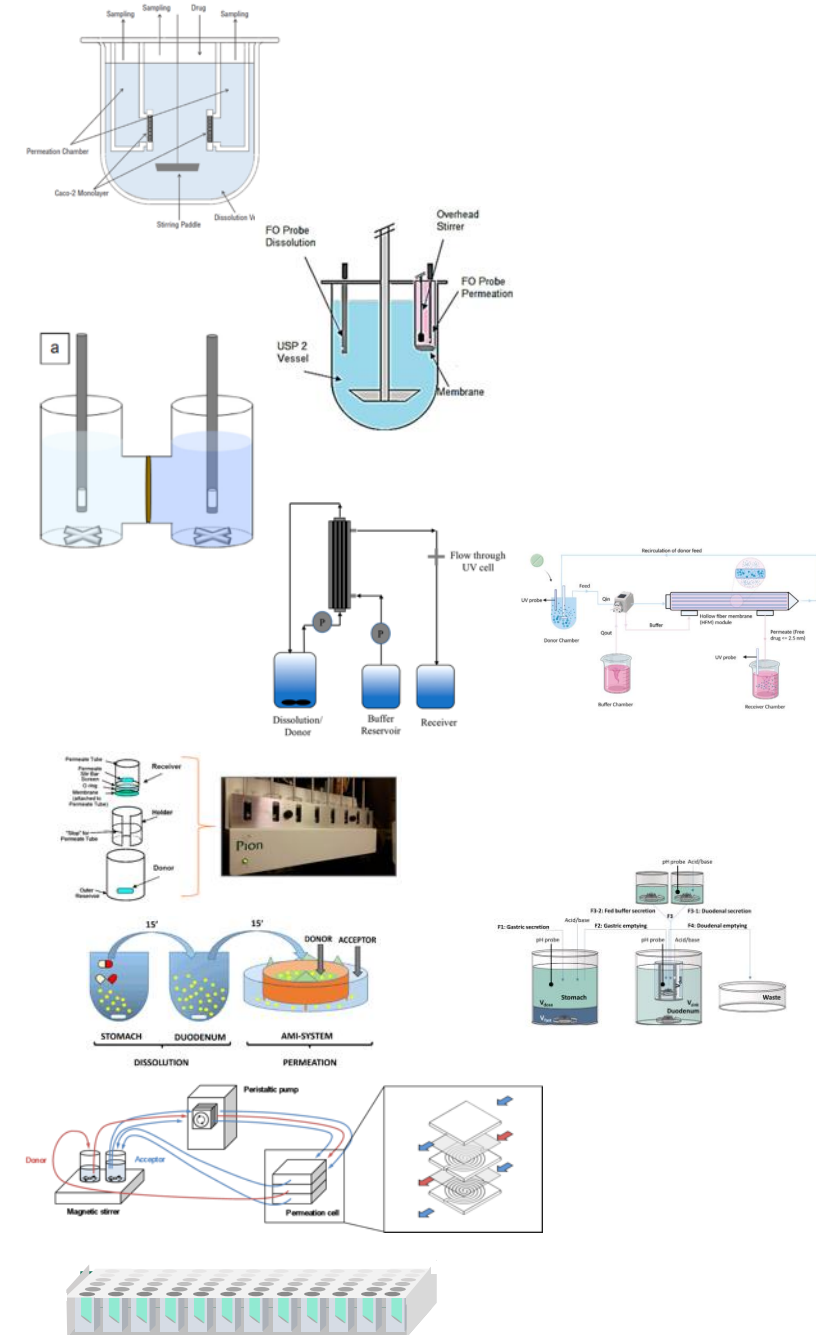
Set-ups:

- Dissolution / Permeation
- Hydrodynamics
- A/V ratio

- closed compartment methods
- Half change methods
- Fluid flow through methods
- Microtiter plates



Setup	Reference	Barrier	A [cm ²]	V _{Donor} [mL]	A/V [cm ⁻¹]	Sample	D/P set-up
IDAS2	<i>Li et al. 2019</i>	Caco-2	2.26	500	0.005	Complete dosage form	Continuous
MacroFLUX™	<i>Borbás et al. 2018</i>	Lipid-soaked filter (PAMPA)	3.80	1062	0.004	Complete dosage form	Continuous
Microflux™	<i>Tsinmann et al. 2018</i>	Lipid-soaked filter (PAMPA)	1.54	20	0.08	Down-scaled formulation	Continuous
Hollow fiber module	<i>Hate et al. 2019</i>	Dialysis principle	100	50	2.00	Complete dosage form	Continuous
Diamod®	<i>Moens et al., 2023</i>	Dialysis membrane	65	30	2	Complete dosage form	Continuous
TIM Tiny TIM	<i>Mármol et al., 2022</i>	Hollow fiber dialysis		55-300	n/a	Complete dosage form	Continuous
Vertical membrane flux cell	<i>Stewart et al. 2017</i>	Lipid-soaked filter (PAMPA)	4.90	5	0.98	Drug substance	Continuous
AMI-system	<i>Berben et al. 2018</i>	Dialysis membrane	4.91	0.7	7.38	Complete dosage form	Dis-continuous
PermeaLoop™	<i>Sironi et al. 2018</i>	Dialysis membrane / Permeapad	27.6	20	1.38	Downscaled formulation	Continuous
Permeapad® Plate; Plain Plate	<i>Jacobsen et al 2019</i>	Dialysis membrane / Permeapad	0.2	0.15-0.4	1.33-0.5	Downscaled dosage form	Continuous





How to select a set-up?

- According to the objective of the study:
 - Ranking of formulations or mechanistic understanding?
- Number of test parameters; number of experiments
- Compatibility of barriers with the different set-ups
- Compatibility with dosage form and preparation, e.g. lipolysis
- Propability for non-specific adsorption of API to surfaces
- ...



Case Study 1:

Combined Dissolution and Permeation
in preformulation /early formulation studies

Ranking of formulations



Case Study 1: Formulations of Amorphous Tadalafil

- In vivo bioavailability rat data:
from Krupa et al. (2016)
- High-energy ball-milling for tadalafil amorphization
- Solid dispersion of tadalafil in Soluplus® (amphiphilic polymer)

Tadalafil:
MW 389.4 g/mol
logP: 2
BCS class II
Solubility ~ 2 µg/mL
not pH dependent

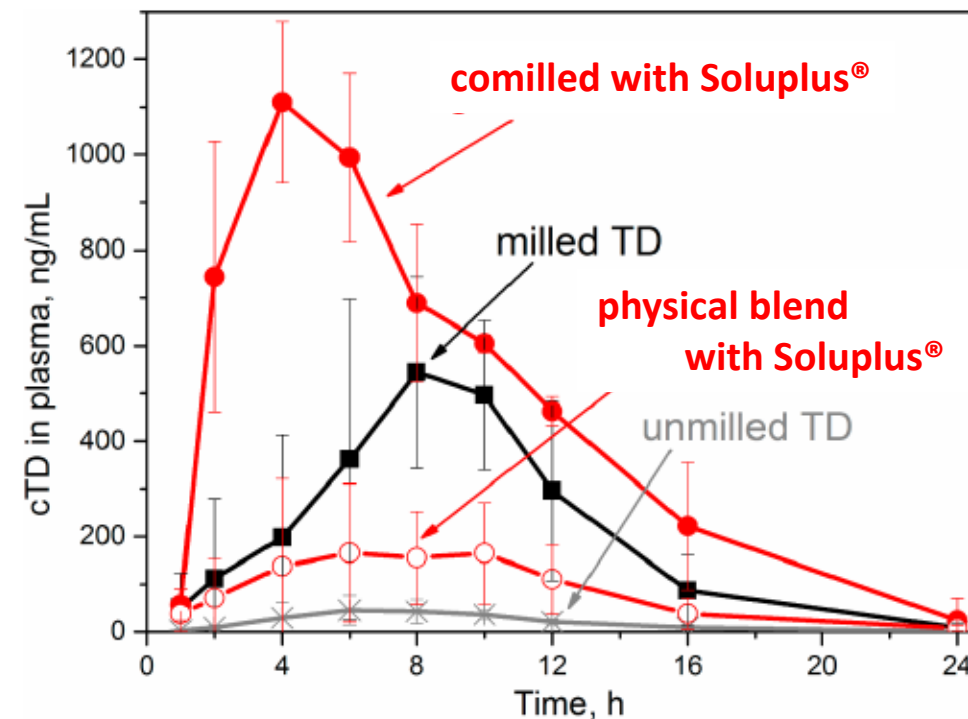
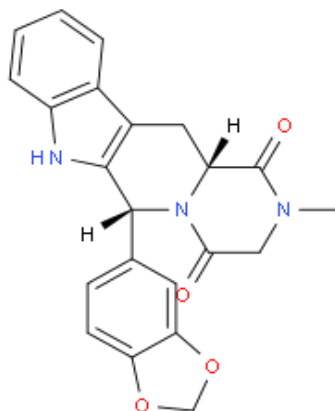
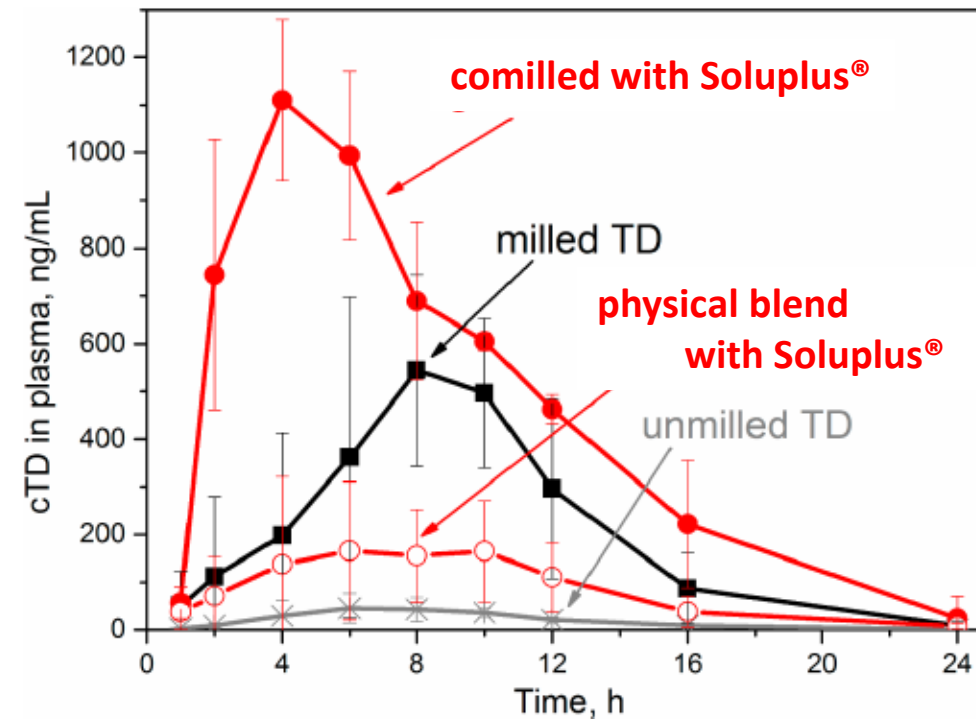
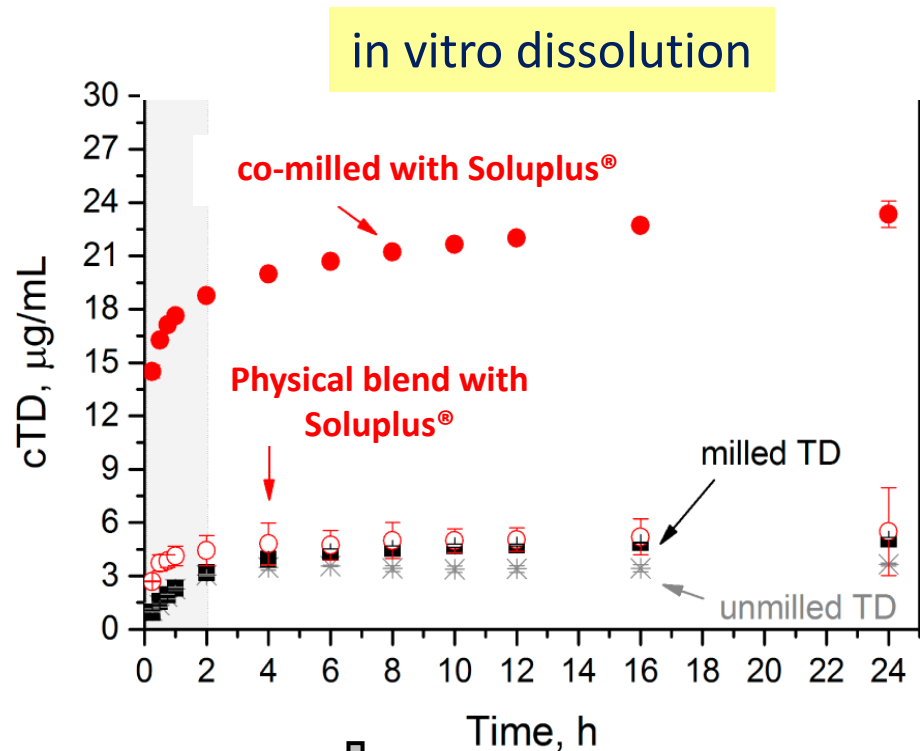


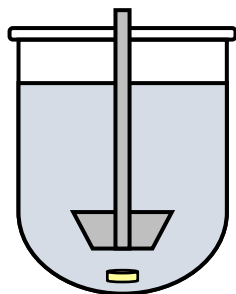
Figure 9. Rat plasma concentrations of TD as a function of time following oral administration of gelatin capsules containing TD (5 mg/kg) in the form of comilled glassy solution 0.1TD (red solid circles), milled amorphous TD (black solid squares), unmilled crystalline PM 0.1TD (red open circles), and unmilled crystalline TD (gray stars) ($n = 4$).



Case Study 1: Formulations of Amorphous Tadalafil



Dissolution Apparatus 2



-> poor prediction



Case Study 1:

Combined Dissolution and Permeation in preformulation /early formulation studies

Formulation screening

“black box”:

Permeated amount
after fixed time interval

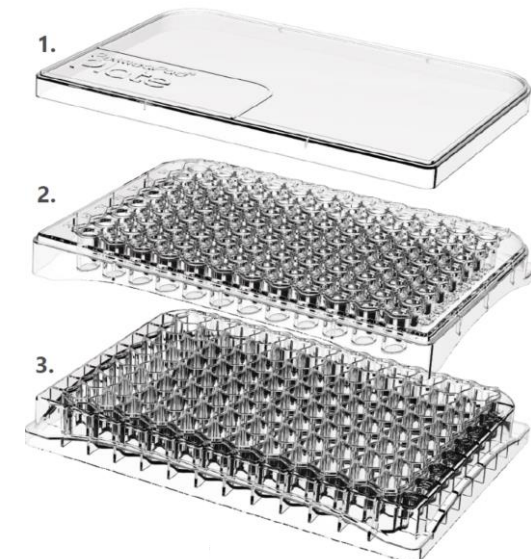
Permeation-“plain” plate : dialysis principle

Microtiter plates

Cover

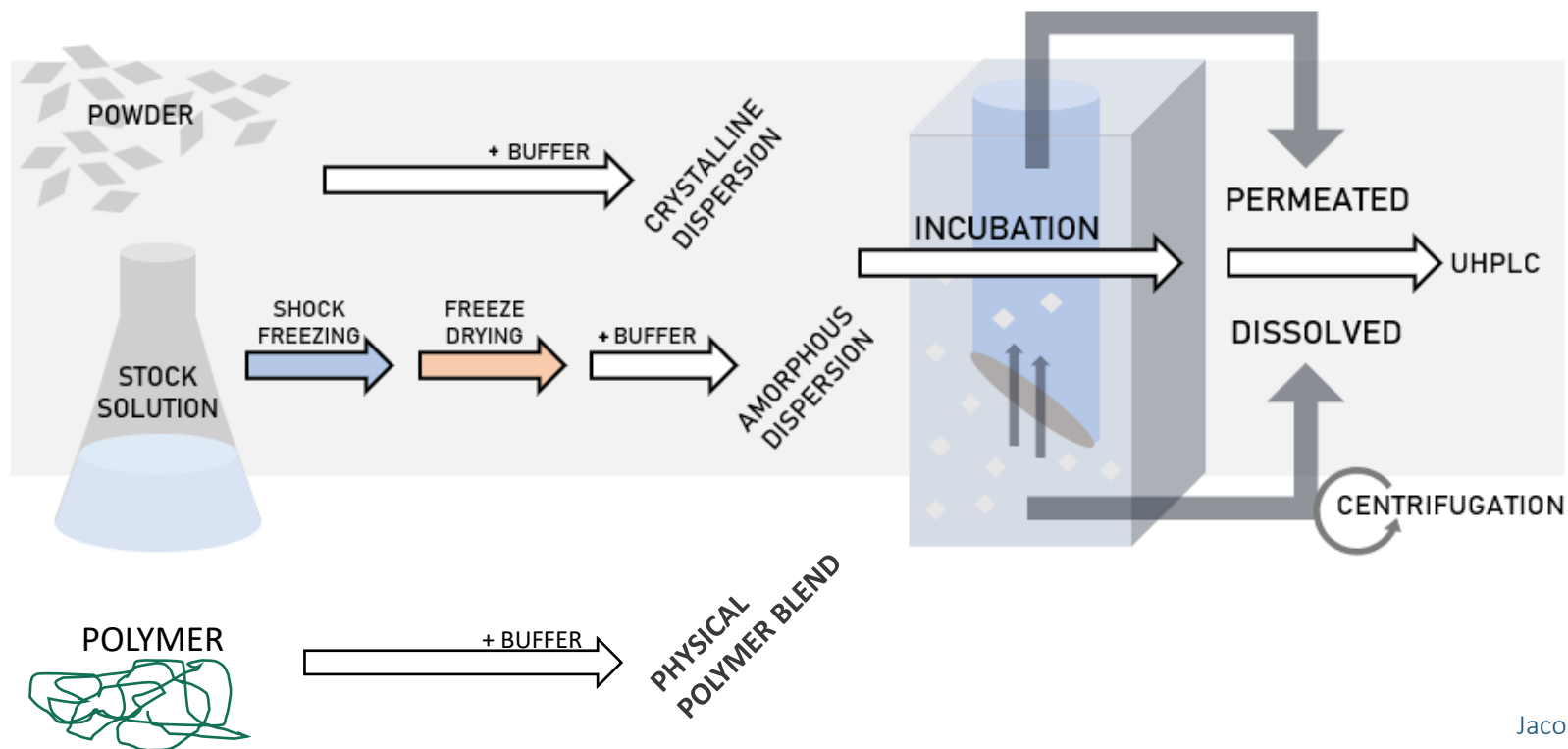
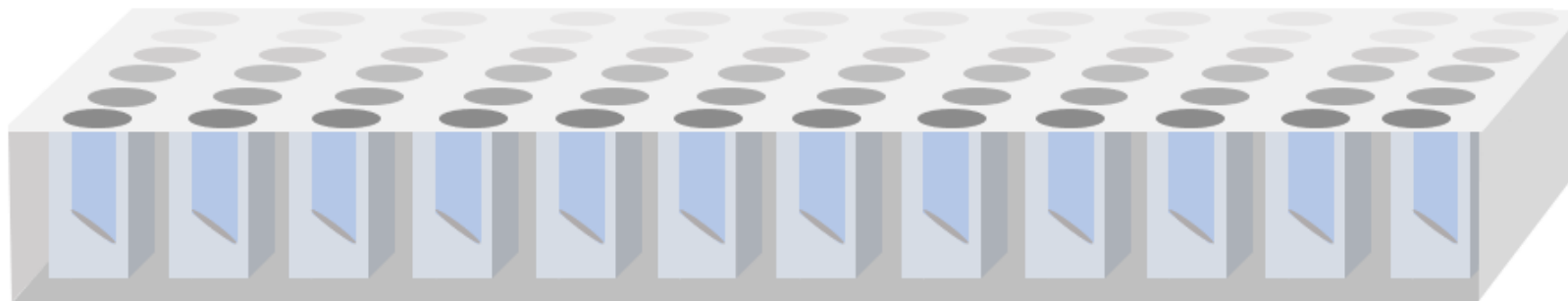
Insert plate
with barrier

Bottom plate



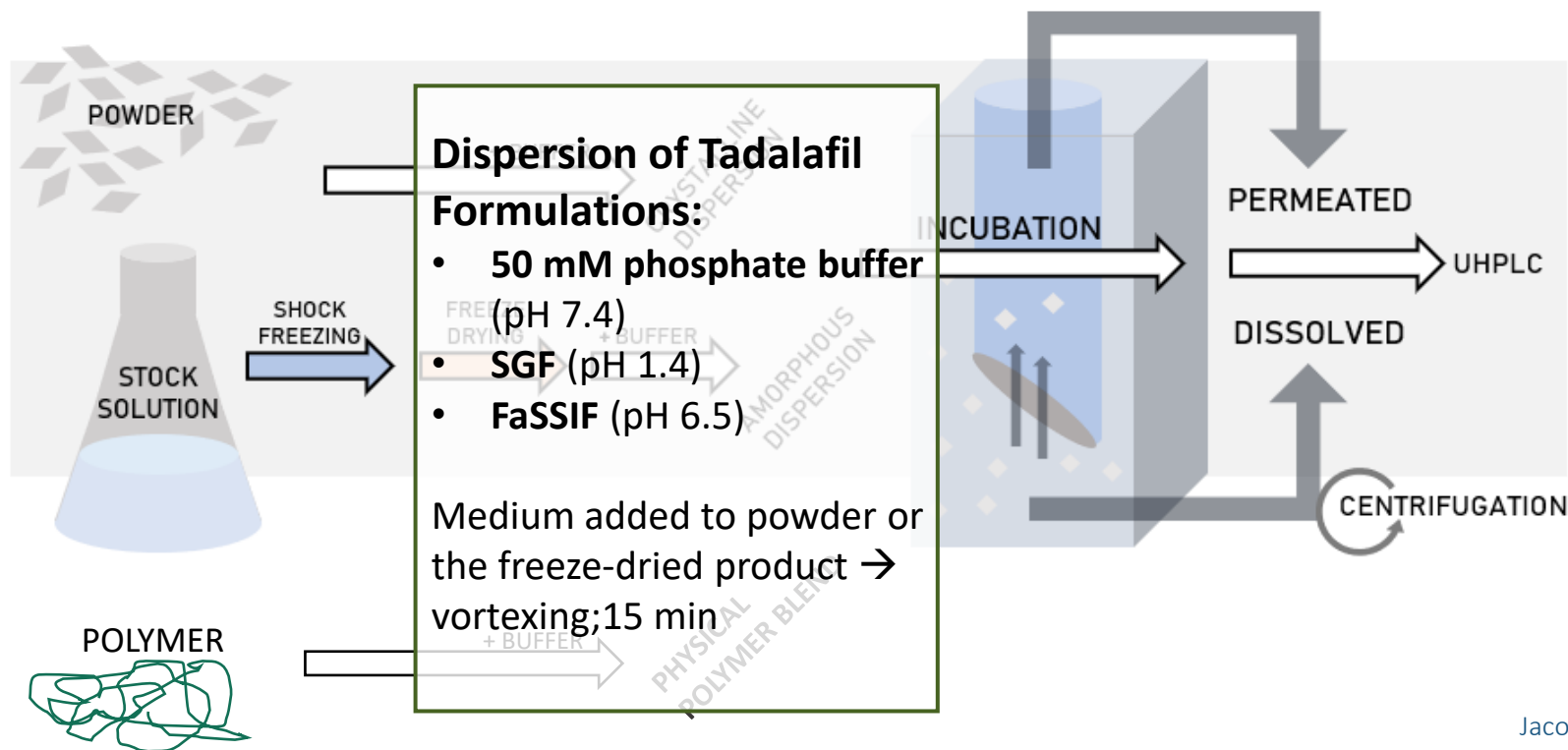
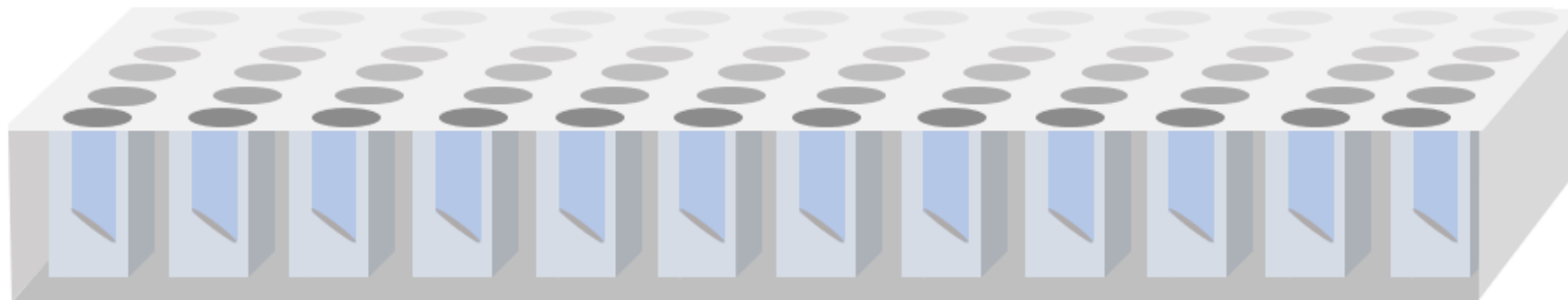


Case Study 1: Preparation of Formulations





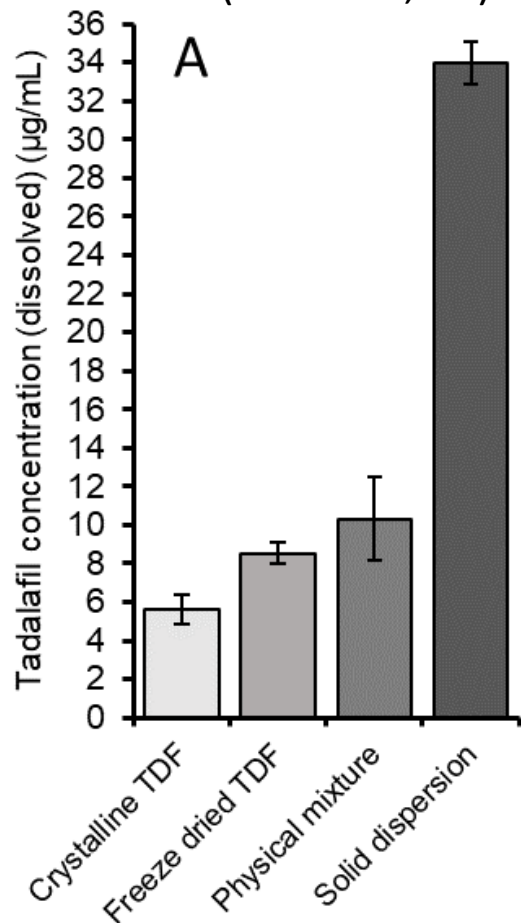
Case Study 1: Preparation of Formulations



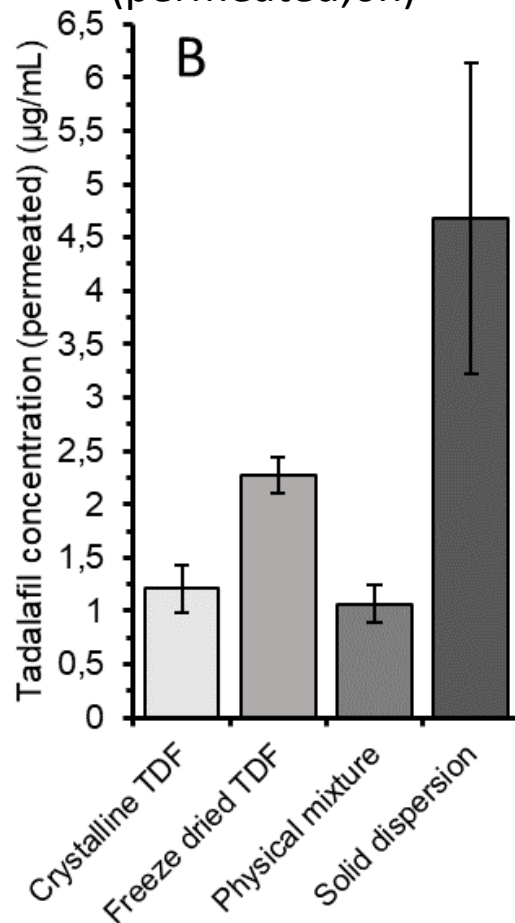


Case Study 1: Comparison to in vivo Data

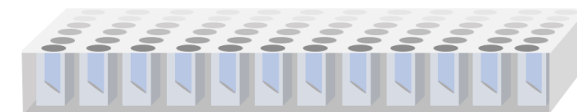
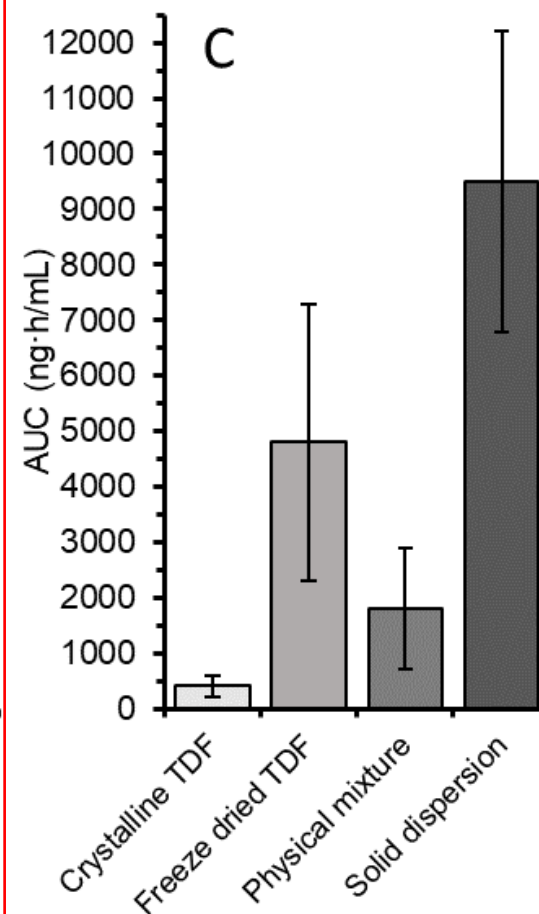
Donor dissolved
(in FaSSIF; 6h)



Acceptor
(permeated; 6h)



in vivo AUC



Dissolution is not predictive- even in biomimetic media.

Amount of API permeated is predictive (ranking of formulations).



How to select a D/P method?

Ranking of formulations

“Black box”:
analyse amount permeated only

High throughput screening HTS

- Balance rates of dissolution and depletion
 - API properties
 - Excipient properties
- Which barrier?
Which experimental conditions?
- Key influence parameters

Mechanistic understanding

Input for choice of formulations

- Follow permeation over time
- In depth analysis of supersaturation states, equilibria in the donor
- Follow exchange rates
- Analytical tools?
- Prediction & modelling



Case Study 2:

Combined Dissolution and Permeation
Enabling Formulation Screening
using side-by-side diffusion cell and PermealooTM

Reverse engineering approach
Formulation optimization



Case Study 2: Permealoo™ Surface pH-modified Dipyridamole Formulations

Preparation of granules:

Fumaric acid
Dipyridamole
Mannitol



→ Addition of HPC solution and 70% ethanol q.s.

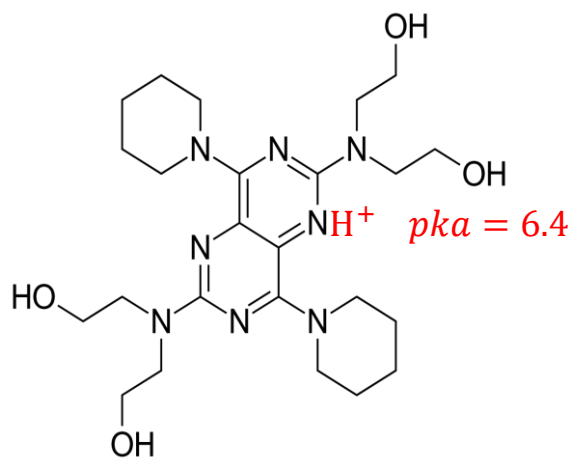


Table 6 Compositions of prepared granules and physical mixture

API:acid ratio	1:0	1:0.5	1:1	1:2	1:1
Wet granulation?	yes	yes	yes	yes	no (PM)
Dipyridamole (mass, %)	29.85	29.85	29.85	29.85	29.85
Mannitol (mass, %)	69.65	54.75	39.8	9.95	39.8
Fumaric acid (mass, %)	0	14.90	29.85	59.7	29.85
HPC (mass, %)	0.5	0.5	0.5	0.5	0.5
Total (mass, %)	100	100	100	100	100



Case Study 2: References for Dipyridamole Formulations: D/P and in vivo absorption

Dipyridamole properties:

*Solubility pH 4.0: 490 μ g/mL

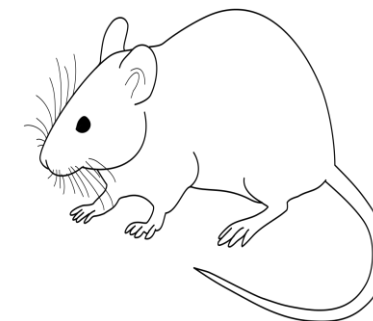
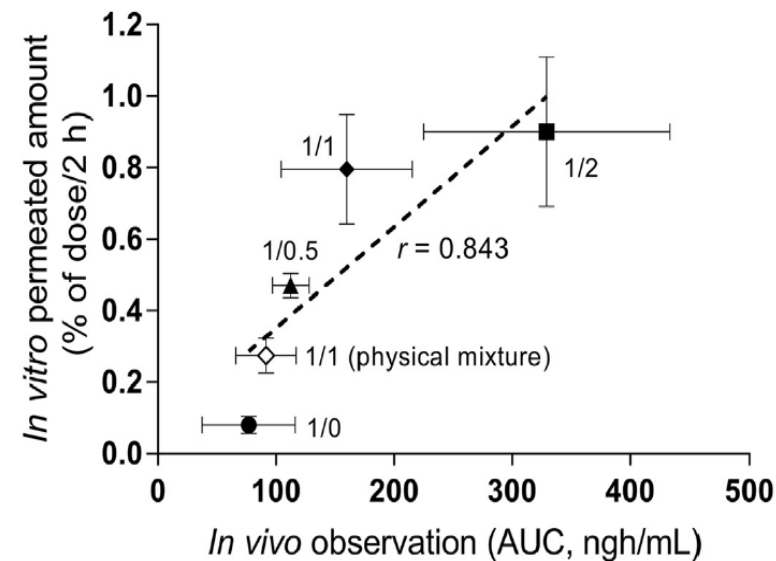
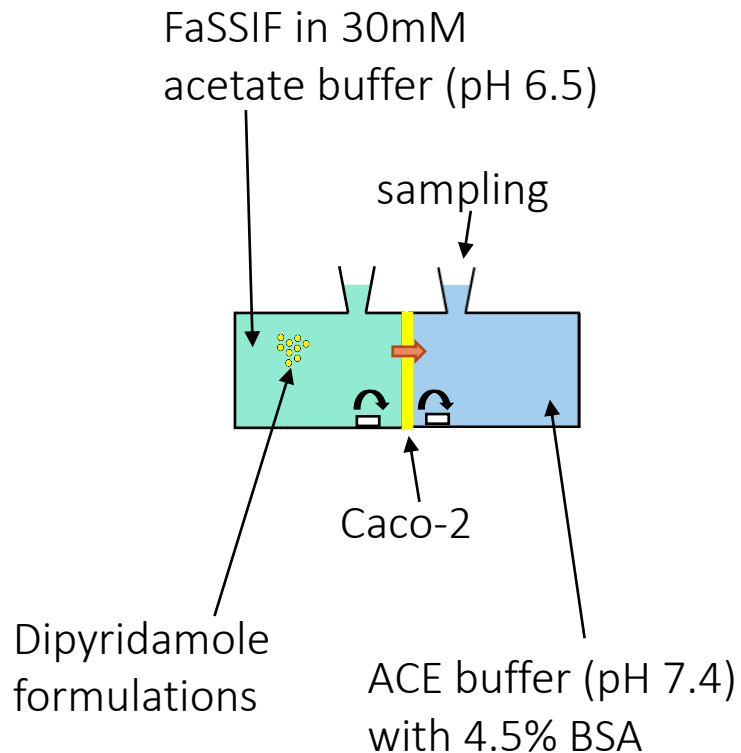
*Solubility pH 6.5: 5 μ g/mL

*Solubility pH 7.4: 3 μ g/mL

LogP: 4.1

BCS: II

*Sieger et al., EJPS, 105, 82-90 (2017)



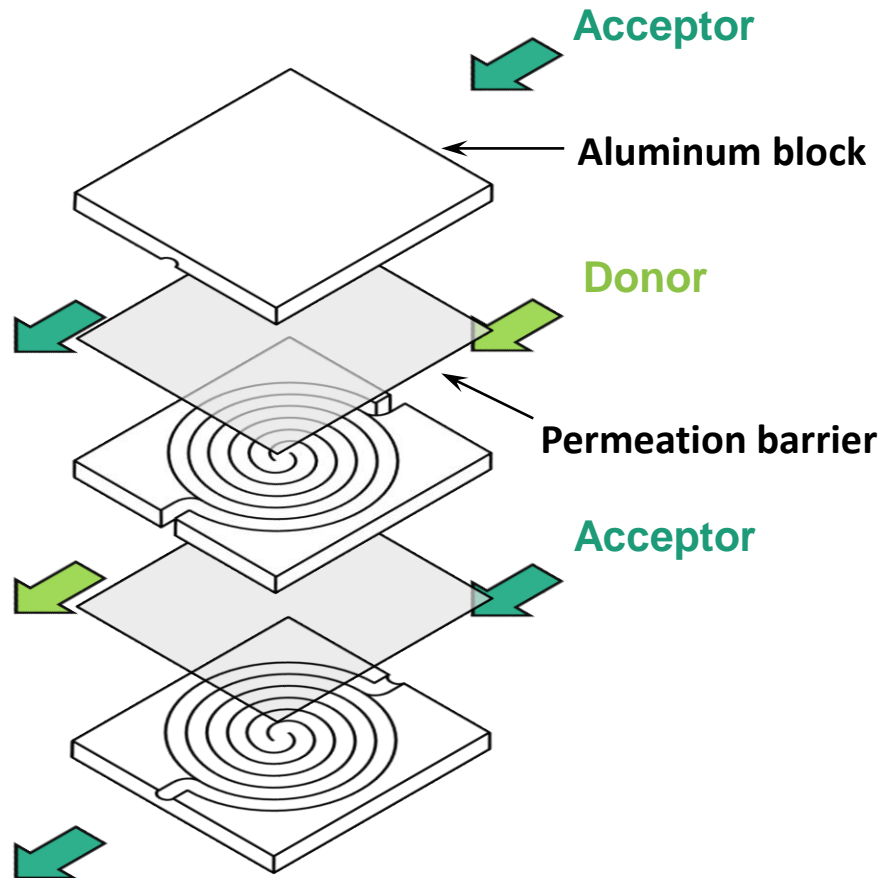
Mizoguchi et al., Journal of Pharmaceutical Sciences, 107 (9) 2404-2410 (2018)



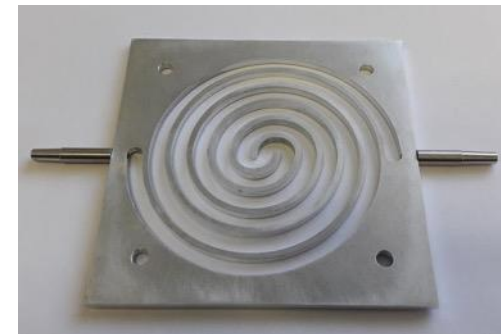
Case Study 2: PermeaLoop™

Permeation setup with high area-to-volume ratio

→ Small-scale flow-through dissolution/permeation studies



Permeation area:	27.6 cm ²
Donor volume:	20 mL
Area/volume:	1.38 cm⁻¹



Middle compartment (donor)



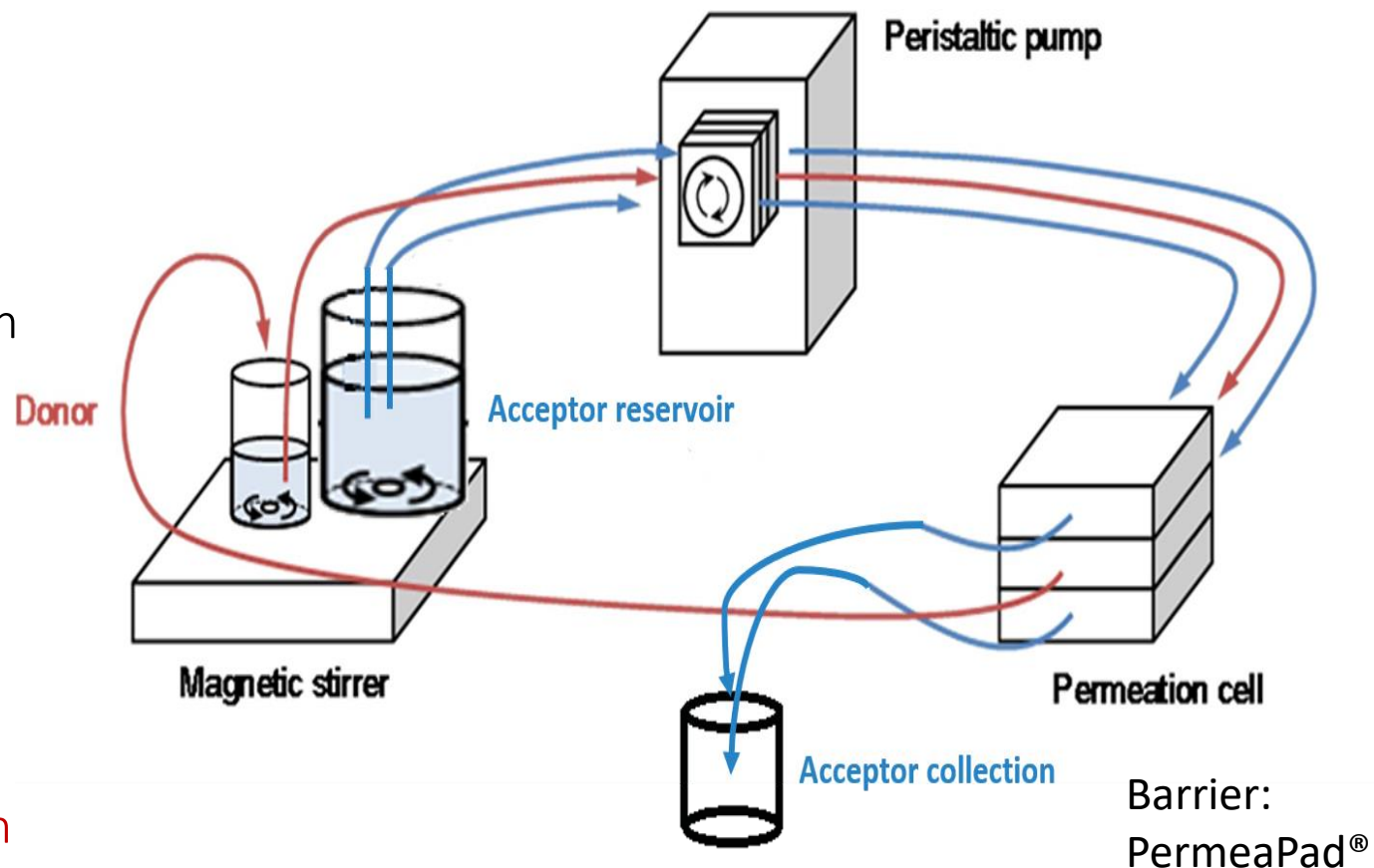
Case Study 2: PermeaLoop™ Set-up

Donor flowrate: 1mL/min

Acceptor flowrate: 0.25mL/min
or 1mL/min

Temperature: 37°C

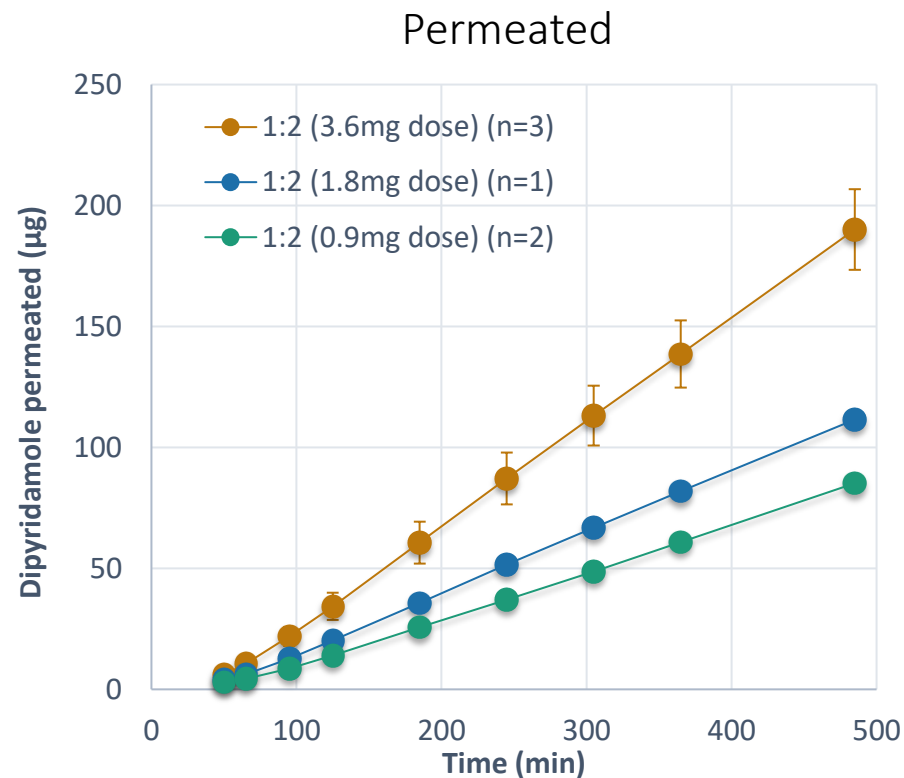
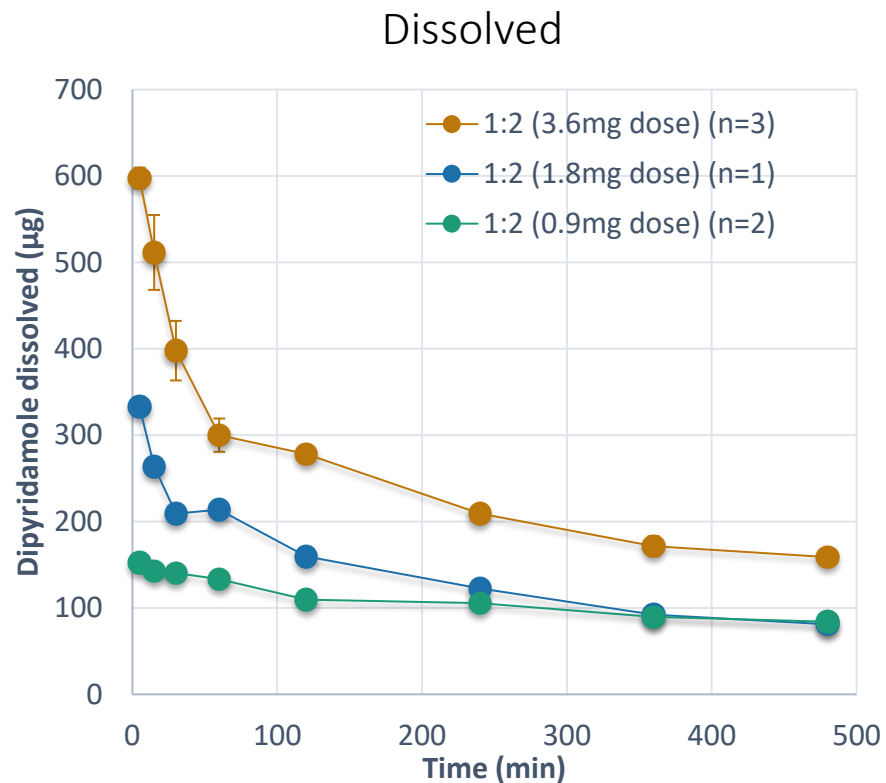
Donor medium:
75µg/mL Dipyridamole solution
in 50mM Phosphate-citrate buffer pH 4
Acceptor medium:
50mM Phosphate-citrate buffer pH 4





Case Study 2: D/P Profiles of Formulations: Doses

Different doses of 1:2 API:acid dipyrnidamole granules



Donor: 200 mM phosphate buffer pH 6.5 ; Acceptor: 0.2% TPGS in 200 mM phosphate buffer pH 6.5

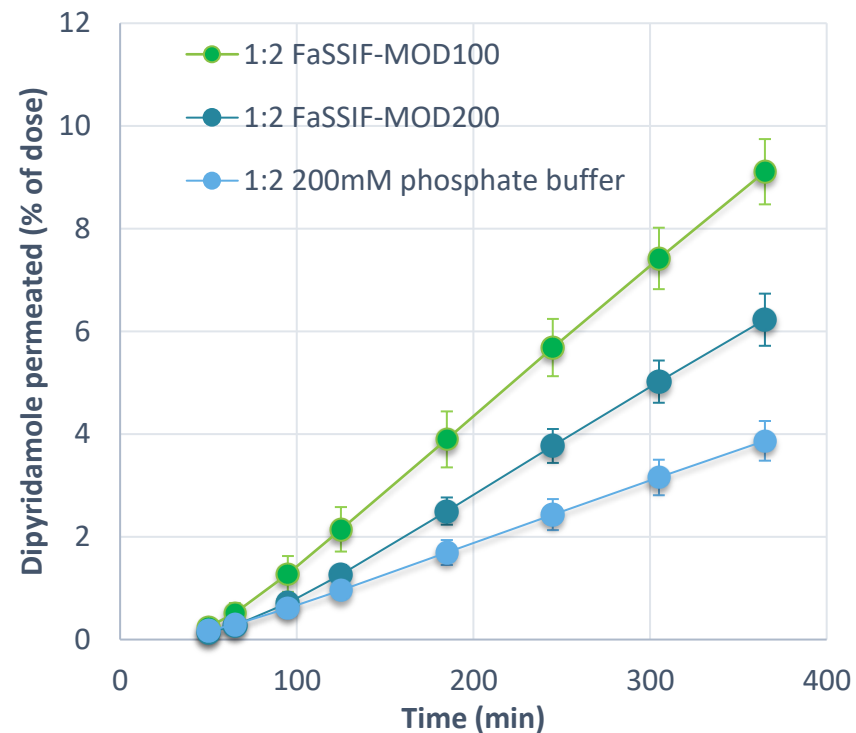
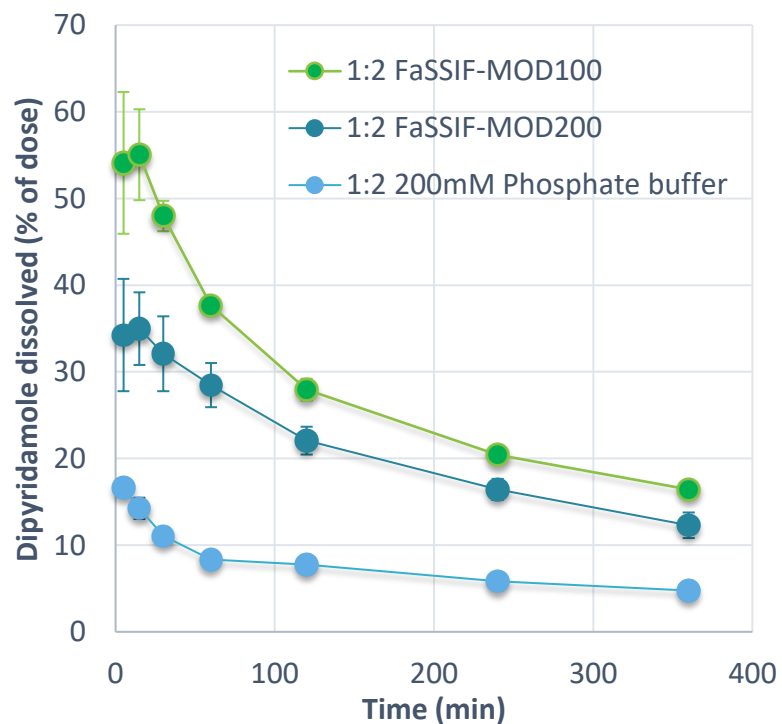
Dose: 3.6 mg; 1.8 mg; 0.9 mg mean±SD (n=3) for 3.6mg; others: n=1

Eriksen, B.J., Master thesis, SDU, 2020

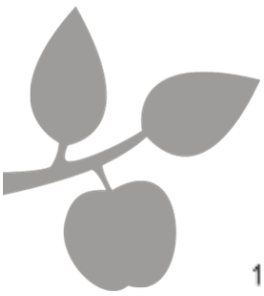


Case Study 2: D/P Profiles of Formulations: Media

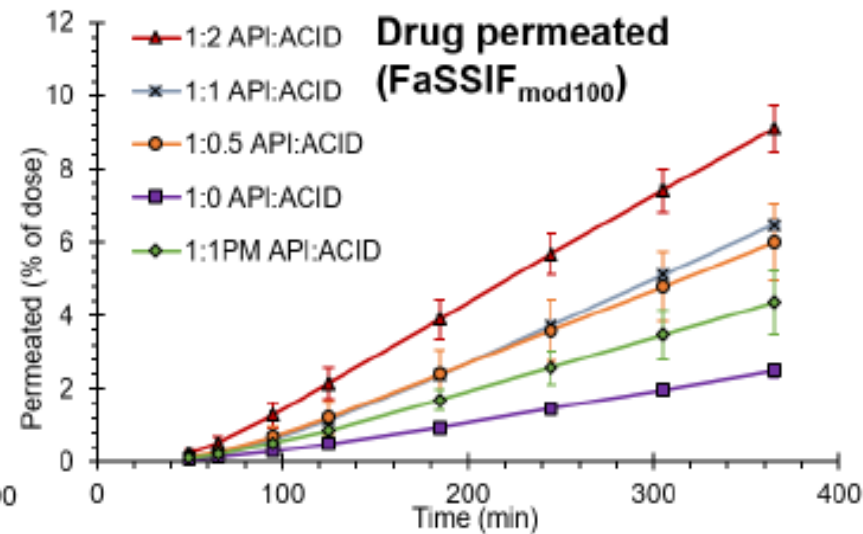
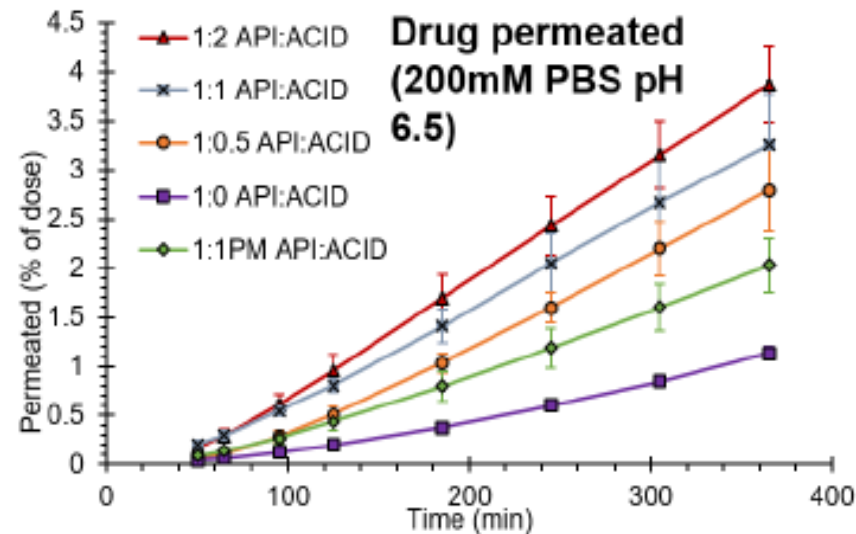
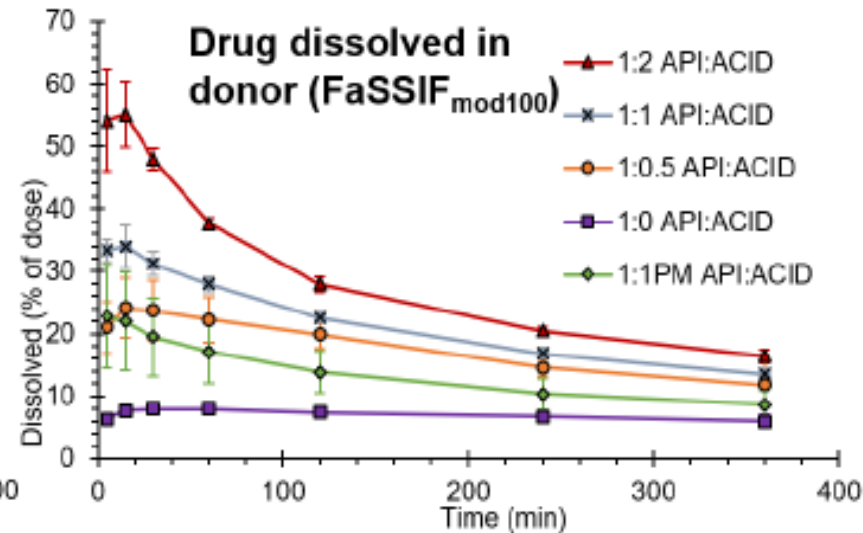
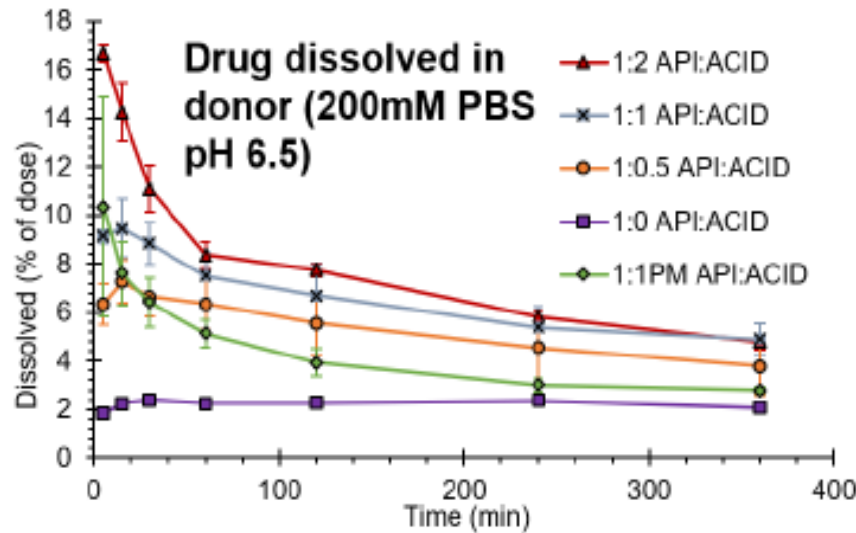
various donor media



Dissolution profiles and permeation profiles of 1:2 API:acid dipyridamole granules in various donor media. Acceptor medium 0.2% TPGS in pH 6.5 phosphate buffer; osmolality corresponding to the donor. mean \pm SD (n=3).



Case Study 2: D/P Profiles of Formulations:



Different dipyridamole formulations

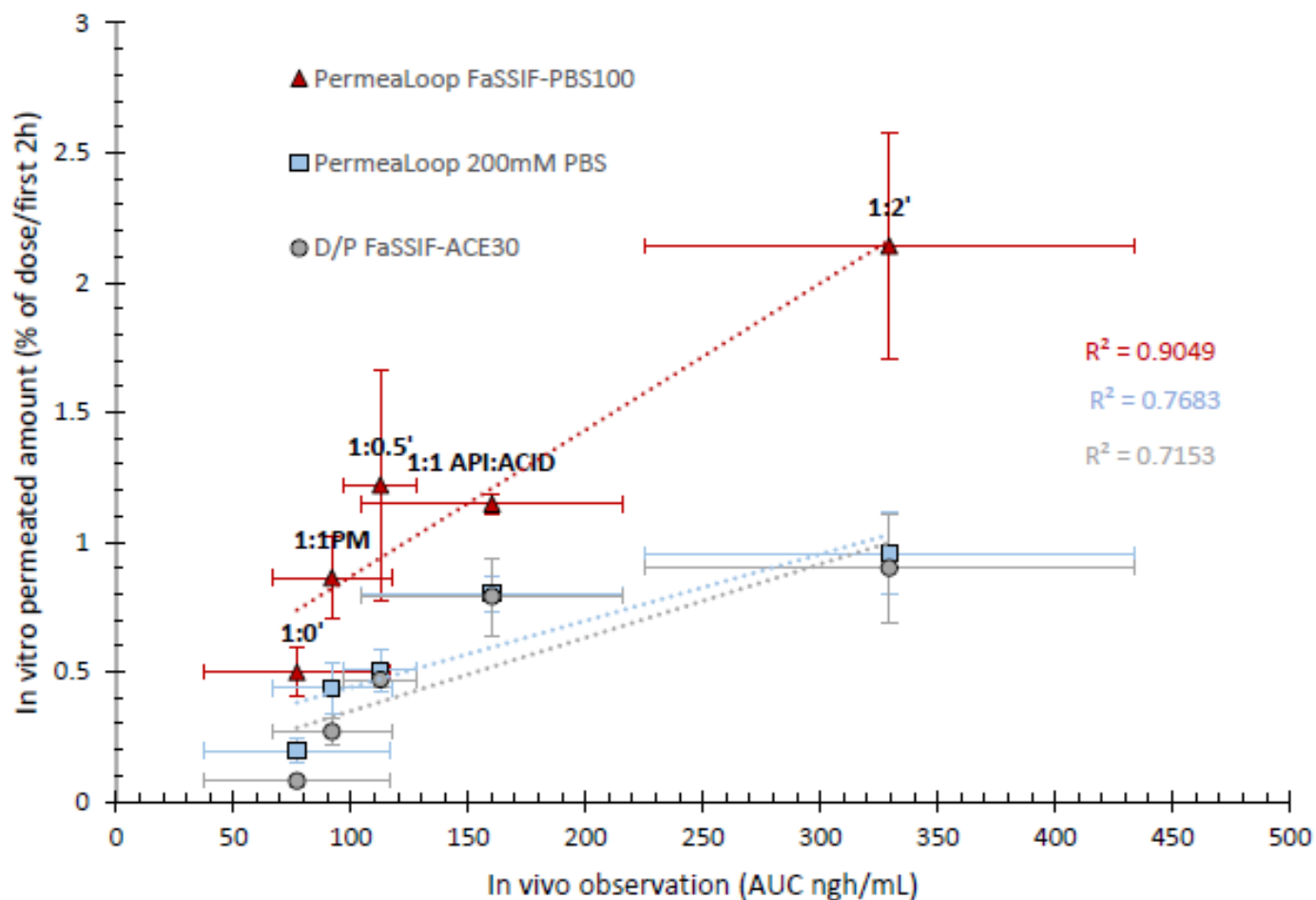
Donor:
A,B: PBS pH 6.5
C,D: FaSSIF mod pH 6.5

Acceptor:
PBS pH 6.5 + 0.2% TPGS
Isoosmotic

mean±SD (n=3).



Case Study 2: IVIVR: permeated vs. absorbed



Correlations:
in-vivo data and D/P
results from Mizoguchi et
al., 2018.
mean±SD
(in-vitro n=3, in-vivo n=4).



Case Study 3:

Combined Dissolution and Permeation
for commercial drug products

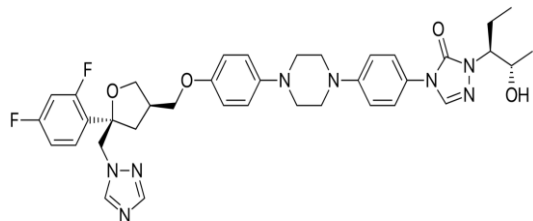
comparing set-ups : μ Flux™ and Permealooop™

Reverse engineering approach



Case Study 3: IVIVR comparing set-ups

μ FLUX™ and PermeaLoop™



Posaconazole

MW: 700 g/mol

logP: 4.6

pKa: 3.6 and 4.6

BCS Class II

oral bioavailability < 50%



Pharmaceutics, Drug Delivery and Pharmaceutical Technology
Supersaturation and Precipitation of Posaconazole Upon Entry in the Upper Small Intestine in Humans

Bart Hens¹, Joachim Brouwers¹, Maura Corsetti², Patrick Augustijns^{1,*}

¹ Drug Delivery & Biopharmaceutics, KU Leuven, Belgium

² Translational Research Center for Gastrointestinal Disorders (TRC-GI), KU Leuven, Belgium



Pharmaceutics, Drug Delivery and Pharmaceutical Technology
Gastrointestinal and Systemic Monitoring of Posaconazole in Humans After Fasted and Fed State Administration of a Solid Dispersion

Bart Hens¹, Maura Corsetti², Joachim Brouwers¹, Patrick Augustijns^{1,*}

¹ Drug Delivery & Biopharmaceutics, KU Leuven, Belgium

² Translational Research Center for Gastrointestinal Disorders, KU Leuven, Belgium

Noxafil®



PCZ acidified suspension



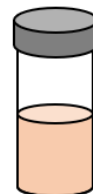
PCZ neutral suspension



PCZ ASD Tablet

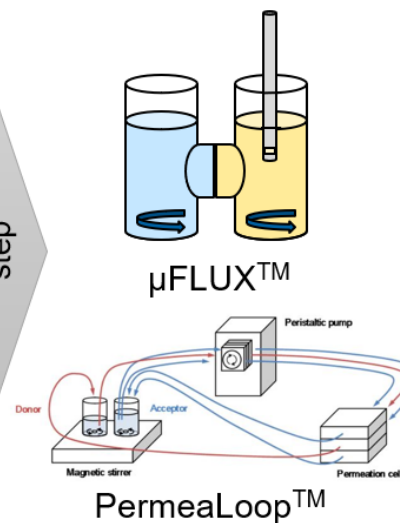
In vitro two-stage dissolution/permeation

Gastric step



SGF

Intestinal step



Biopredictive capability

- Systemic absorption
- Intestinal supersaturation

Validation with *in vivo* data

Suspension given with acidified water leads to higher plasma exposure
Tablet (ASD) leads to supersaturation in jejunum

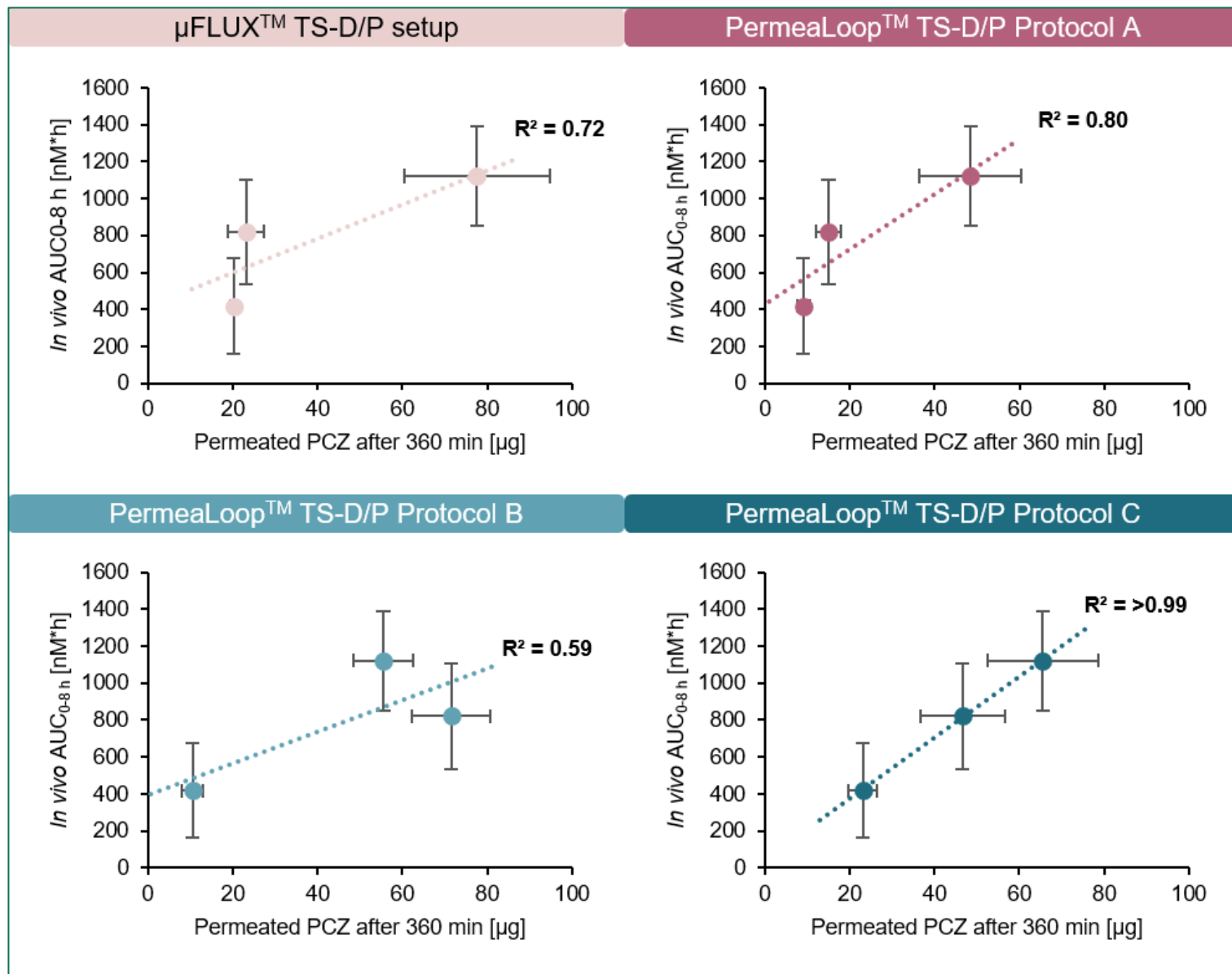
Hens et al., J. Pharm. Sci 2016; a, b



Case Study 3:

IVIVR for experimental data from μ FLUX™ and PermeaLoop™ and in vivo data

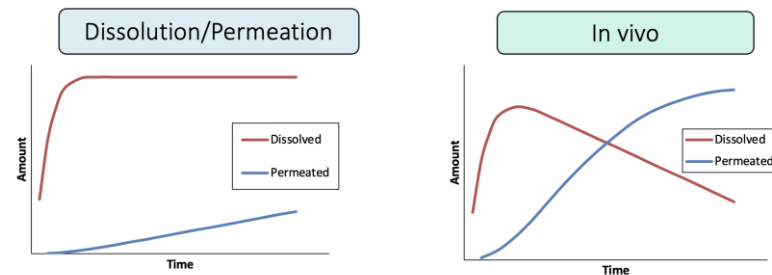
The permeated in vitro amount for acidified suspension, neutral suspension, aliquot of the ASD tablet plotted vs. AUC_{0-8h} in vivo
Data from Hens et al. (Hens et al., 2016a, Hens et al., 2016b).





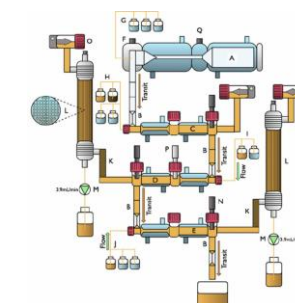
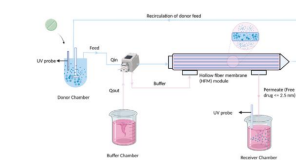
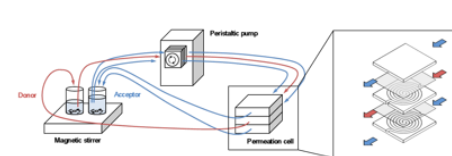
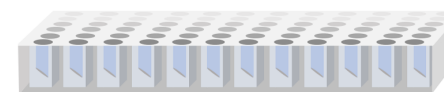
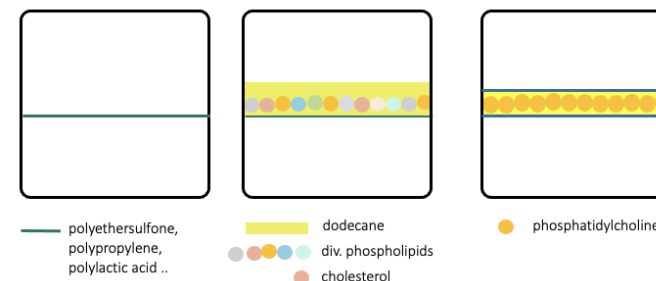
Key Messages

- Dissolution/Permeation to capture depletion of donor compartment by permeation (absorption)
- For drugs/enabling formulations with dissociation state changing, a (lipidic) biomimetic barrier is required
- Balance the rates of dissolution and permeation by choice of barrier and setup (A/V ratio) as well as experimental conditions
- Dynamic D/P scenario with mutual influence of dissolution and permeation cannot be achieved with classical D/P setups (due to low A/V ratio)
- Microtiterplate set-ups can be used for HTS
- Recent additions to the D/P –toolbox with high A/V ratios are promising for mechanistic studies



- Steady state flux
- Dissolution not affected

- Dynamic flux
- Donor depletion





Collaborators and Sponsors

C. Stillhart, J. Petrig Schaffland, Roche, CH

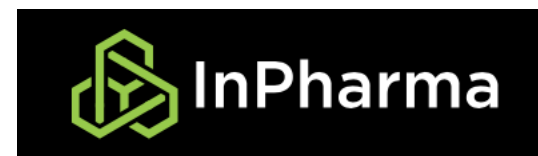
J. Milschmann, R. Messerschmid, K. Schäfer, Boehringer, DE

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U. Muenster, M. Karl, W. Hoheisel, Bayer/Invite, DE

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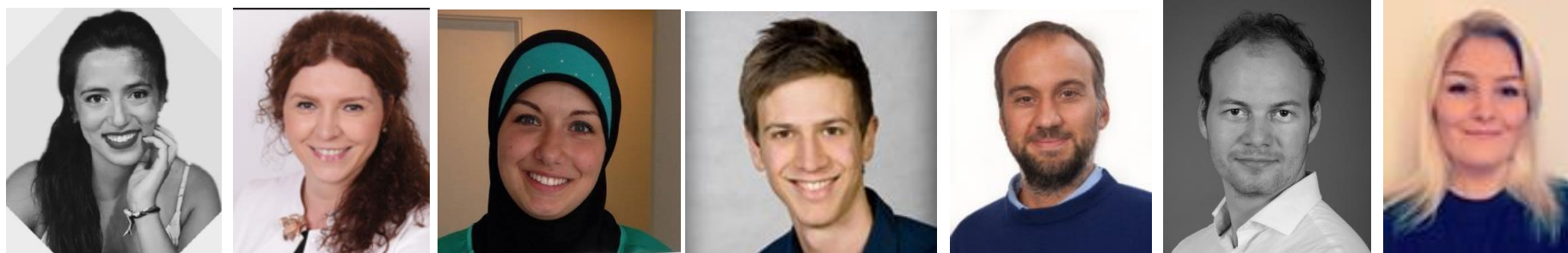




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Guests & alumni SDU, Odense, DK



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Further reading

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Any questions?

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