

# Challenges in AI/ML for Health: Bias, Generalizability, Privacy

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FDA-MCERSI Workshop on Application of Artificial Intelligence and Machine Learning for Precision Medicine

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 @calimagna



# AI=Trustworthy

News > Medscape Medical News

## AI Bot ChatGPT Passes US Medical Licensing Exams Without Cramming – Unlike Students

Alicia Ault

January 26, 2023



ChatGPT can pass parts of the US medical licensing exam, researchers have found, raising questions about whether the AI chatbot could one day help write the exam or help students prepare for it.

Source: <http://medscape.com/viewarticle/987549>



# AI=Trustworthy?

News > Medscape Medical News

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How many letters in FIVE?



FIVE has 5 letters.



Are you sure?



Yes, I'm sure. FIVE has 5 letters.



Can you spell it out?



Sure, F-I-V-E spells "FIVE".



ok, so how many letter?



FIVE has 5 letters.

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# Trustworthy = ?



**Fig. 4.** Characteristics of trustworthy AI systems. Valid & Reliable is a necessary condition of trustworthiness and is shown as the base for other trustworthiness characteristics. Accountable & Transparent is shown as a vertical box because it relates to all other characteristics.



# Trustworthy = ?

 Focus of today



**Fig. 4.** Characteristics of trustworthy AI systems. Valid & Reliable is a necessary condition of trustworthiness and is shown as the base for other trustworthiness characteristics. Accountable & Transparent is shown as a vertical box because it relates to all other characteristics.



# Trustworthy = ?

Valid & Reliable



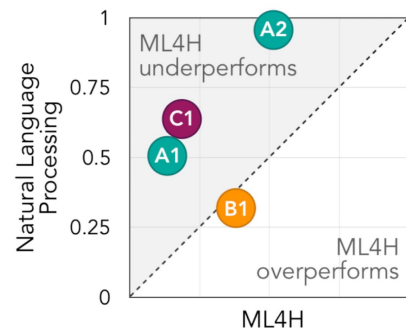
**Fig. 4.** Characteristics of trustworthy AI systems. Valid & Reliable is a necessary condition of trustworthiness and is shown as the base for other trustworthiness characteristics. Accountable & Transparent is shown as a vertical box because it relates to all other characteristics.



# Valid → Reproducible

Systematic evaluation of  
300+ papers in:

- Computer vision
- Natural language processing
- General Machine Learning (ML)
- Machine learning for health (ML4H)



## Evaluation Metrics:

### A. Technical replicability

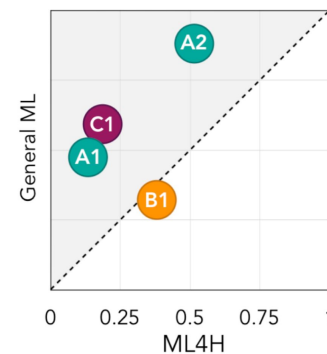
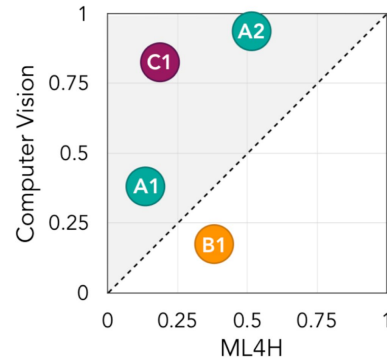
1. Code available
2. Public dataset

### B. Statistical replicability

1. Variance reported

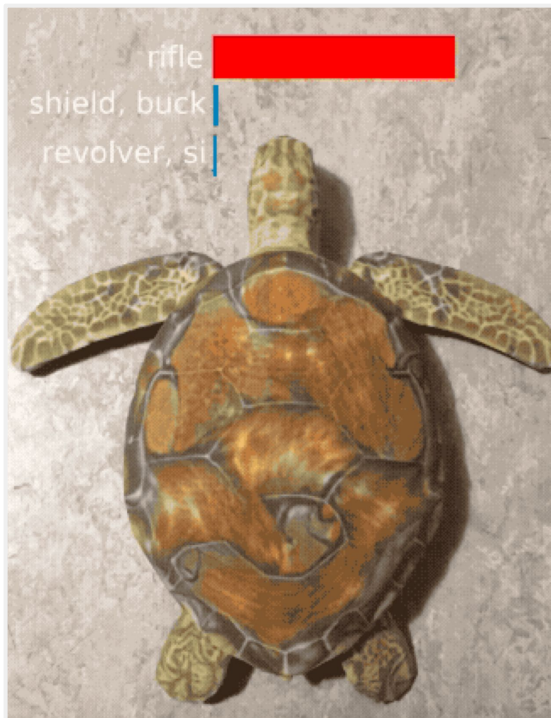
### C. Conceptual replicability

1. Multiple datasets

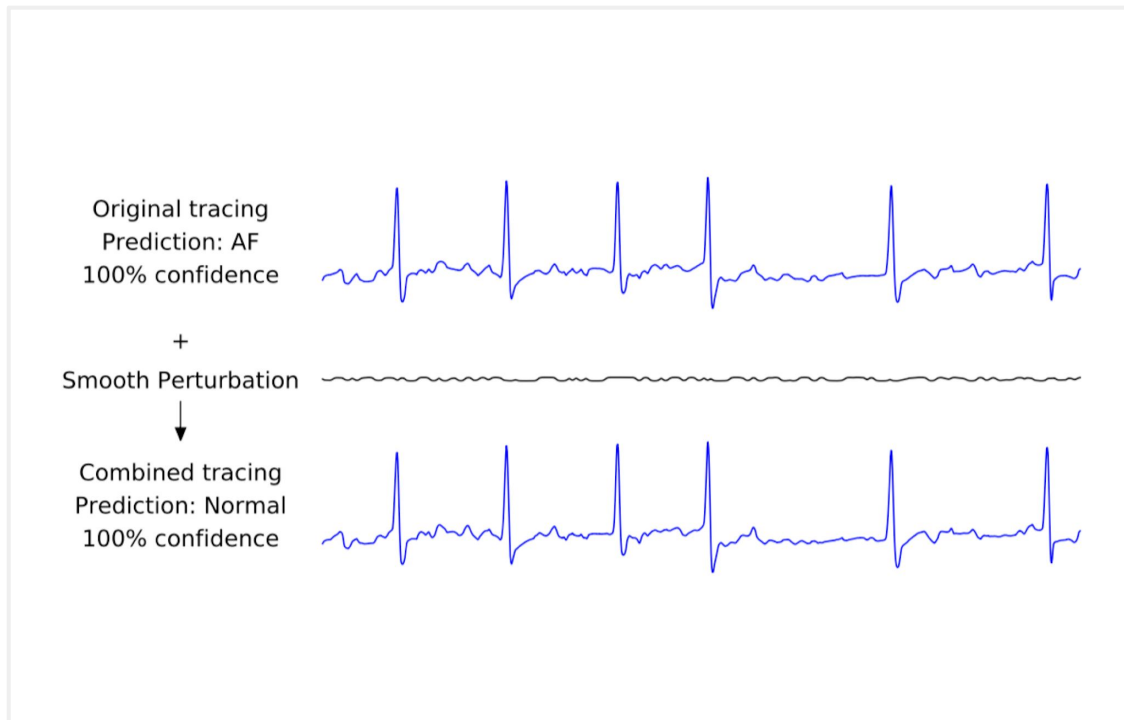




# Reliable → Not Brittle



Synthesizing robust adversarial examples [Athalve, et al.](https://arxiv.org/abs/1707.07397), (ICML) 2018  
<https://arxiv.org/abs/1707.07397>

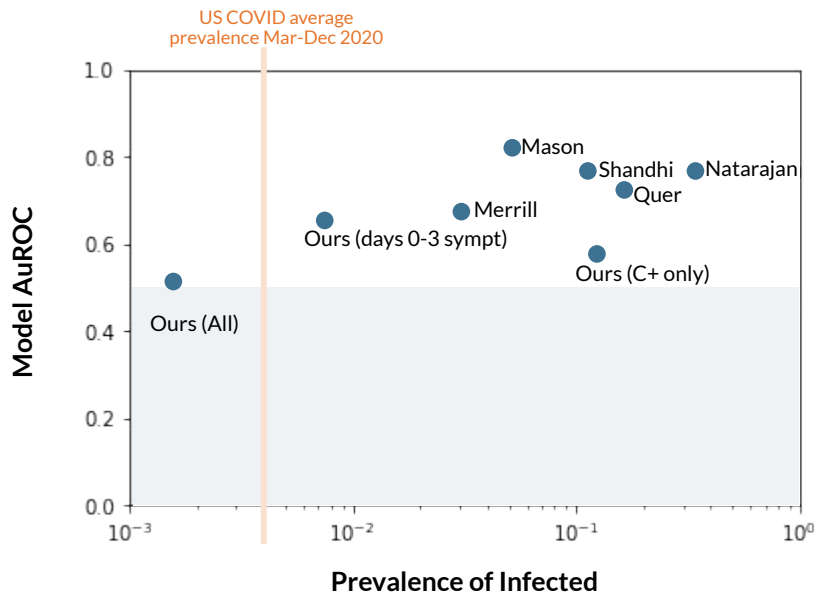


Deep learning models for electrocardiograms are susceptible to adversarial attack  
[Han et al.](https://arxiv.org/abs/1707.07397), NATURE MEDICINE 2020 <https://arxiv.org/abs/1707.07397>  
SEE ALSO: Adversarial attacks on medical machine learning, [Finlayson et al.](https://arxiv.org/abs/1707.07397), SCIENCE (2019)





# Unknown Bias → Lack of Reproducibility



Machine Learning COVID19 Detection from Wearables: The importance of study design. [Nestor et al.](#) (Accepted)

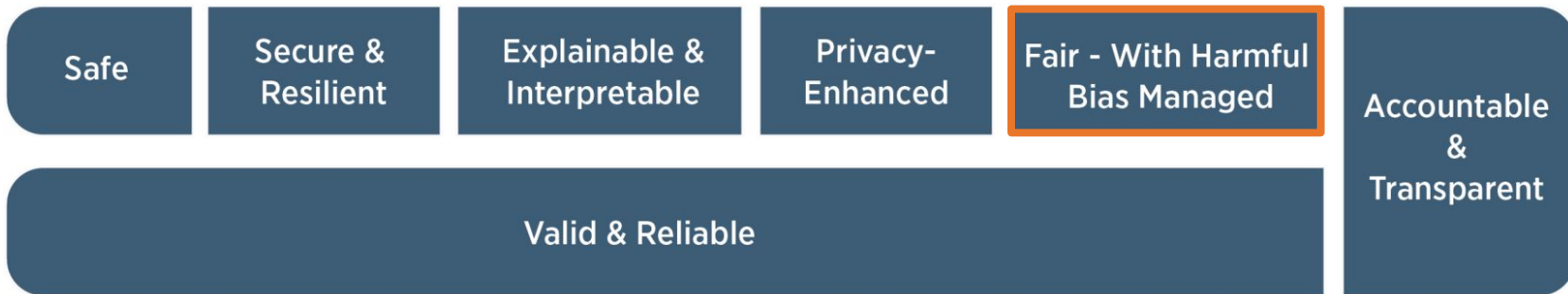
Preprint of prior version available: <https://www.medrxiv.org/content/10.1101/2021.05.11.21257052v1>

SEE ALSO: The performance of wearable sensors in the detection of SARS-CoV-2 infection: a systematic review, [Mitratza & Goodale et al.](#) LANCET DIGITAL HEALTH



# Trustworthy = ?

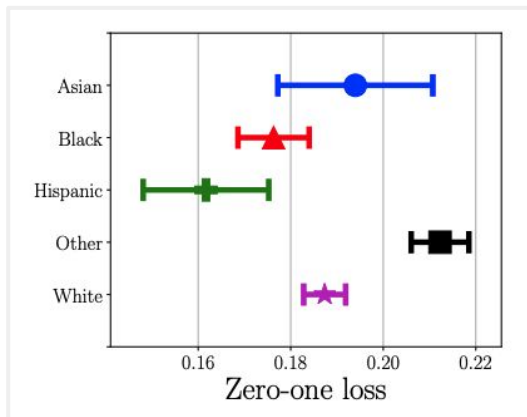
 Bias



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# Unmitigated Bias → Unfairness



Classifier trained on existing data can exhibit unequal error rates across races

Why Is My Classifier Discriminatory? [Chen et al., \(NeurIPS\) 2018](https://arxiv.org/abs/1805.12002)  
<https://arxiv.org/abs/1805.12002>

May 31, 2022

## Racial and Ethnic Discrepancy in Pulse Oximetry and Delayed Identification of Treatment Eligibility Among Patients With COVID-19

Ashraf Fawzy, MD, MPH<sup>1</sup>; Tianshi David Wu, MD, MHS<sup>2,3</sup>; Kunbo Wang, MS<sup>4</sup>; [et al](#)

[» Author Affiliations](#) | [Article Information](#)

*JAMA Intern Med.* 2022;182(7):730-738. doi:10.1001/jamainternmed.2022.1906

## Dissecting racial bias in an algorithm used to manage the health of populations

[ZIAD OBERMEYER](#) , [BRIAN POWERS](#), [CHRISTINE VOGELI](#), AND [SENDHIL MULLAINATHAN](#)  [Authors Info & Affiliations](#)



# Lack of Representation → Unmitigable Bias

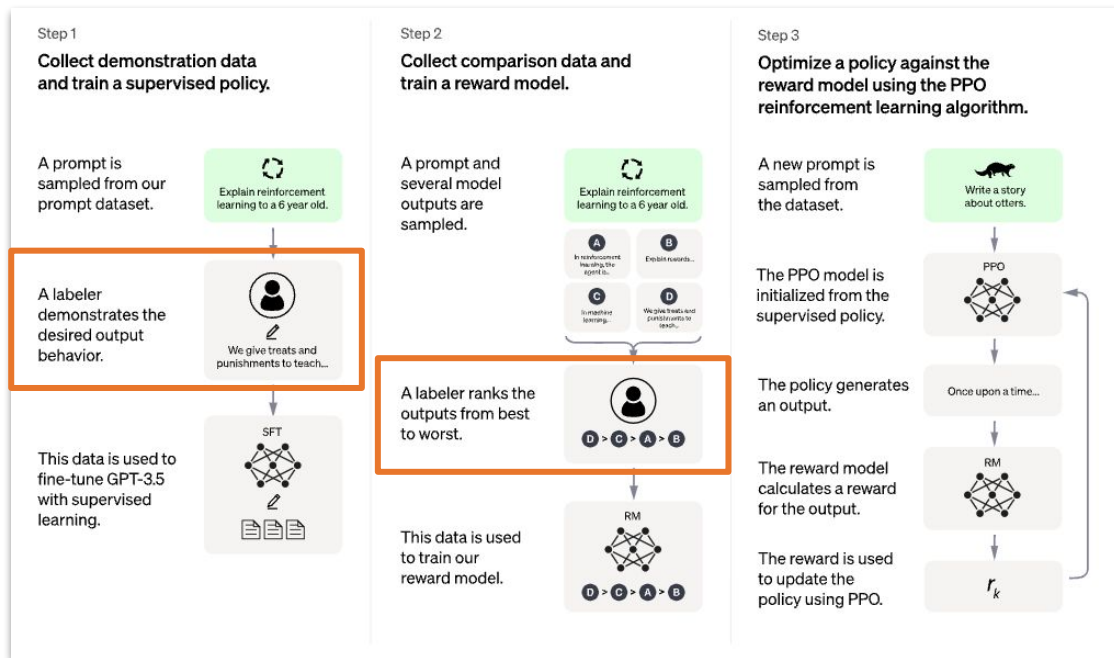
Percentages of 518 FDA-approved AI products that submitted data covering sources of bias

	<b>Aggregate Reporting</b>	<b>Stratified Reporting</b>
<b>Patient Cohort</b>	less than 2% conducted multi-race/gender validation	less than 1% approval with performance figures across gender and race
<b>Medical Device</b>	8% conducted multi-manufacturer validation	less than 2% reported performance figures across manufacturers
<b>Clinical site</b>	less than 2% conducted multisite validation	less than 1% approvals with performance figures across sites
<b>Annotator</b>	less than 2% reported annotator/reader profile	less than 1% reported annotator/reader profile

*Bias in medical AI products often runs under FDA's radar, [Hosgor & Akin](https://www.statnews.com/2023/01/09/four-types-bias-medical-ai-running-under-fda-radar/) STAT+  
<https://www.statnews.com/2023/01/09/four-types-bias-medical-ai-running-under-fda-radar/>*



# New sources of Bias

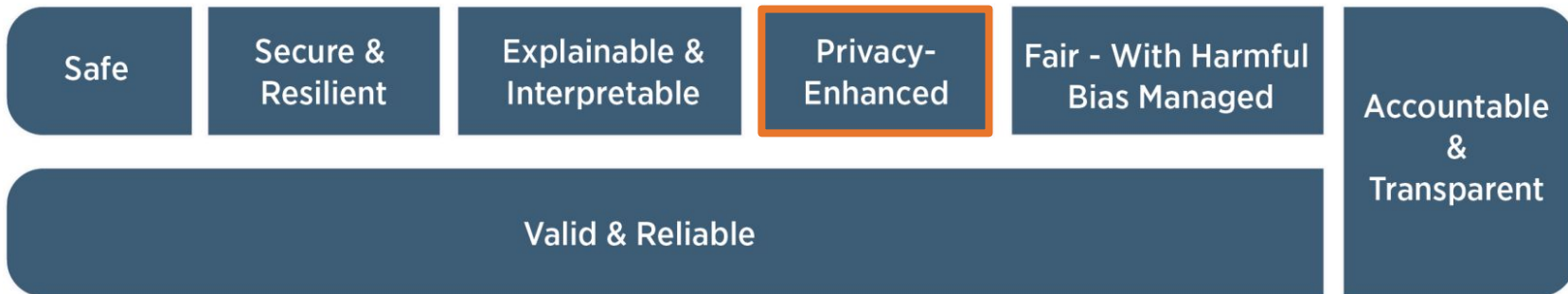


Source: <https://openai.com/blog/chatgpt/>



# Trustworthy = ?

□ Privacy



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# Private?

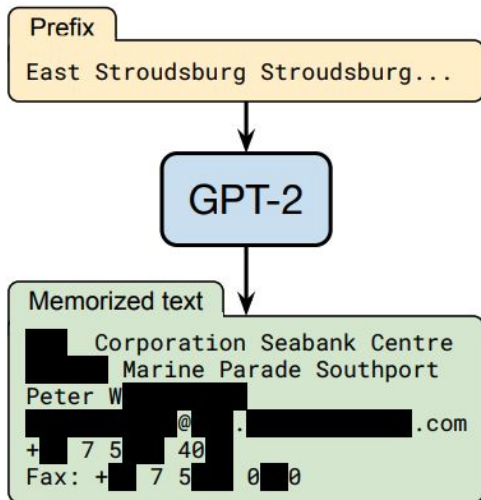


Figure 1: **Our extraction attack.** Given query access to a neural network language model, we extract an individual person's name, email address, phone number, fax number, and physical address. The example in this figure shows information that is all accurate so we redact it to protect privacy.



# Private?

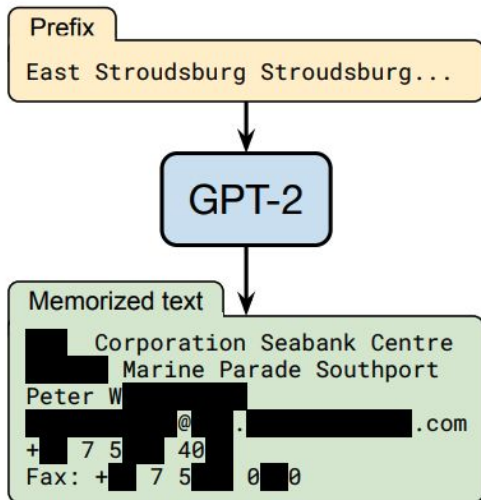


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```
{  
  "activities-log-steps": [  
    {"dateTime": "2011-04-27", "value": 5490},  
    {"dateTime": "2011-04-28", "value": 2344},  
    {"dateTime": "2011-04-29", "value": 2779},  
    {"dateTime": "2011-04-30", "value": 9196},  
    {"dateTime": "2011-05-01", "value": 15828},  
    {"dateTime": "2011-05-02", "value": 1945},  
    {"dateTime": "2011-05-03", "value": 366}  
  ]  
}
```

Sample API response for daily step counts. Source: <https://dev.fitbit.com/build/reference/web-api/activity/>

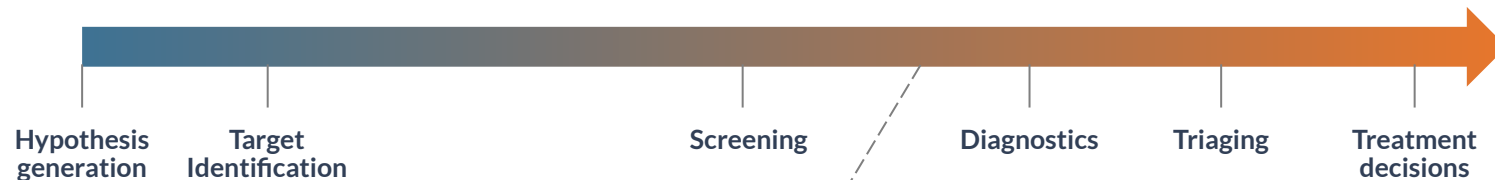




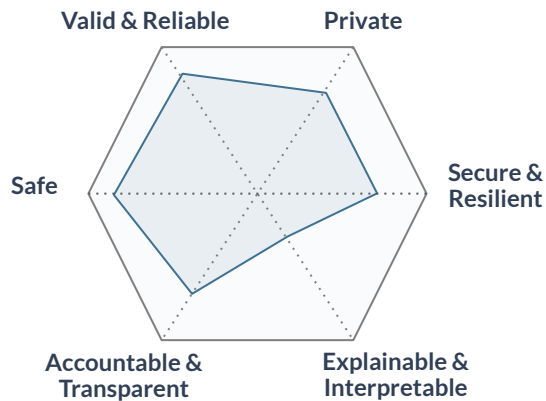
# Assess risk, then choose tradeoffs

Science

Health care

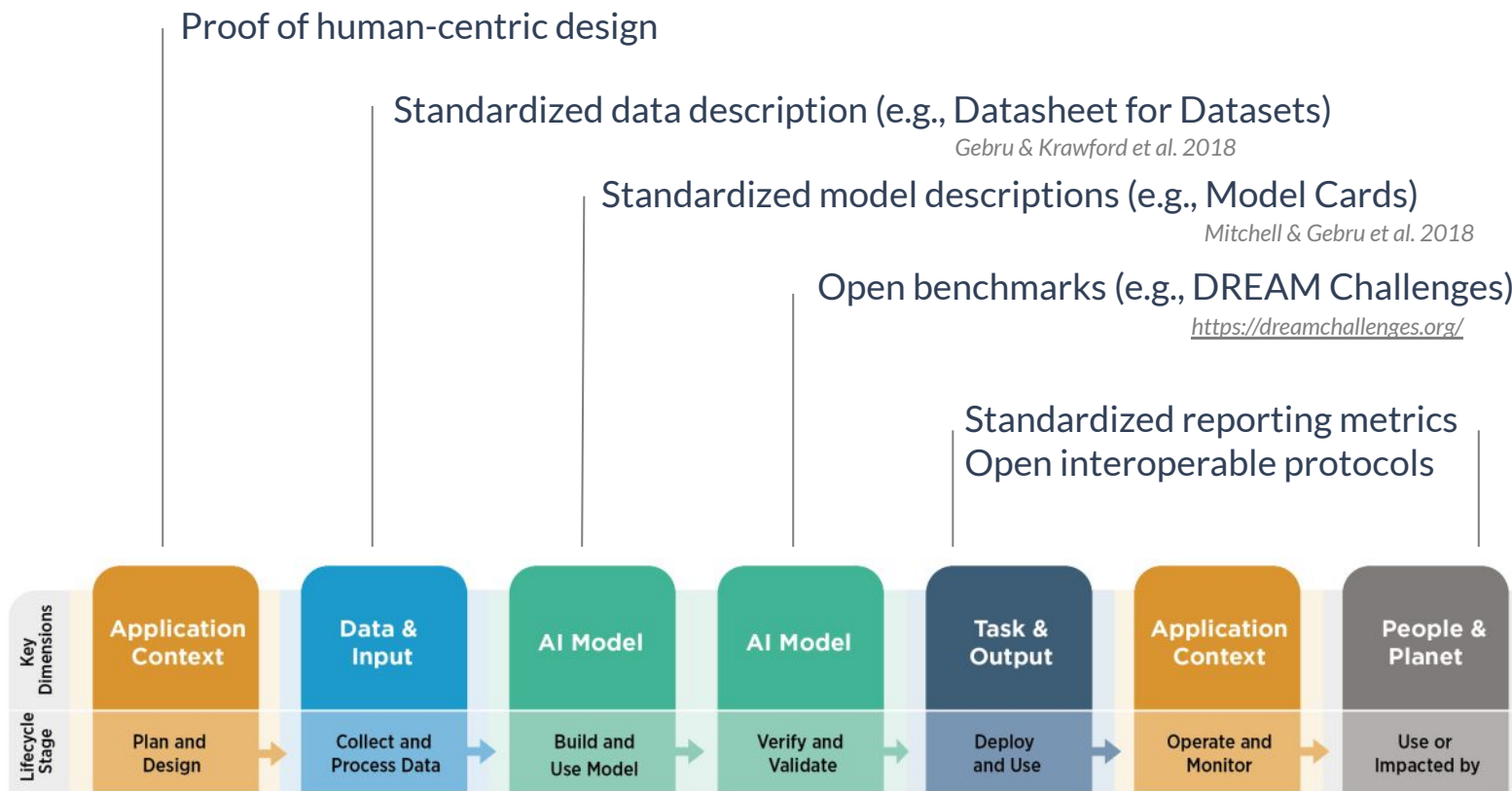


Trade Offs  
*(example)*





# Trust → Verifiable (formally & automatically)




Thank You



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